

The Fundamentals: Algorithms, Integers, and Matrices

CSC-2259 Discrete Structures

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The Growth of Functions

$$f : R \rightarrow R \qquad g : R \rightarrow R$$

Big-Oh: $f(x)$ is $O(g(x))$
is no larger order than

Big-Omega: $f(x)$ is $\Omega(g(x))$
is no smaller order than

Big-Theta: $f(x)$ is $\Theta(g(x))$
is of same order as

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Big-Oh: $f(x)$ is $O(g(x))$

(Notation abuse: $f(x) = O(g(x))$)

There are constants C, k (called witnesses) such that for all $x > k$:

$$|f(x)| \leq C \cdot |g(x)|$$

$$f(x) = x^2 \qquad g(x) = x^2 + 2x + 1$$

$$f(x) = O(g(x))$$

$$x^2 = O(x^2 + 2x + 1)$$

For $x > 0$: $x^2 \leq x^2 + 2x + 1$

$$f(x) \leq g(x)$$

Witnesses: $C = 1, k = 0$

$$f(x) = x^2 \qquad g(x) = x^2 + 2x + 1$$

$$g(x) = O(f(x))$$

$$x^2 + 2x + 1 = O(x^2)$$

For $x > 1$: $x^2 + 2x + 1 \leq x^2 + 2x^2 + x^2 = 4x^2$

$$g(x) \leq 4 \cdot f(x)$$

Witnesses: $C = 4$, $k = 1$

$$f(x) = O(g(x)) \quad \text{and} \quad g(x) = O(f(x))$$



f and g are of the same order

Example: x^2 and $x^2 + 2x + 1$
are of the same order

$$f(x) = O(g(x)) \quad \text{and} \quad |g(x)| \leq |h(x)|$$



$$f(x) = O(h(x))$$

Example: $x^2 + 2x + 1 = O(x^2)$
 $|x^2| \leq |x^3|$ } $x^2 + 2x + 1 = O(x^3)$

$$n^2 \neq O(n)$$

Suppose $n^2 = O(n)$

Then for all $n > k$: $|n^2| \leq C \cdot |n|$



$$|n| \leq C$$

Impossible for $n > \max(C, k)$

Theorem: If $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$
then $f(x) = O(x^n)$

Proof: for $x > 1$

$$\begin{aligned} |f(x)| &= |a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0| \\ &\leq |a_n| x^n + |a_{n-1}| x^{n-1} + \dots + |a_1| x + |a_0| \\ &\leq |a_n| x^n + |a_{n-1}| x^n + \dots + |a_1| x^n + |a_0| x^n \\ &= x^n (|a_n| + |a_{n-1}| + \dots + |a_1| + |a_0|) \end{aligned}$$

Witnesses: $C = |a_n| + |a_{n-1}| + \dots + |a_0|$, $k = 1$

End of Proof

$$1 + 2 + \dots + n = O(n^2)$$

$$1 + 2 + \dots + n \leq n + n + \dots + n = n^2$$

Witnesses: $C = 1$, $k = 1$

$$n! = 1 \cdot 2 \cdot \dots \cdot n = O(n^n)$$

$$n! = 1 \cdot 2 \cdot \dots \cdot n \leq n \cdot n \cdot \dots \cdot n = n^n$$

Witnesses: $C = 1, k = 1$

$$2^n = O(n!)$$

$$\begin{aligned} 2^n &= 2 \cdot 2^{n-1} \\ &= 2 \cdot (2 \cdot 2 \cdot \dots \cdot 2) \\ &\leq 2 \cdot (2 \cdot 3 \cdot \dots \cdot n) \\ &= 2 \cdot n! \end{aligned}$$

Witnesses: $C = 2, k = 2$

$$\log n! = O(n \cdot \log n)$$

$$\log n! \leq \log n^n = n \cdot \log n$$

Witnesses: $C=1, k=1$

$$n = O(2^n)$$

$$\log n = O(n)$$

For $n > 1$: $n < 2^n$



$$\log n < \log 2^n = n \cdot \log 2 = n$$

Witnesses: $C=1, k=1$

$$\log_a n = O(\log n)$$

$$\log_a n = \frac{\log n}{\log a}$$

Witnesses: $C = \frac{1}{\log a}, k = 1$

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constant $\frac{1}{x} = O(1)$

For $x > 1$: $\frac{1}{x} \leq 1$

Witnesses: $C = 1, k = 1$

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Interesting functions

1 $\log n$ n $n \log n$ n^2 2^n $n!$



Higher growth

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Theorem: If $f_1(x) = O(g_1(x))$, $f_2(x) = O(g_2(x))$
then $(f_1 + f_2)(x) = O(\max(|g_1(x)|, |g_2(x)|))$

Proof: $x > k_1$ $|f_1(x)| \leq C_1 \cdot |g_1(x)|$
 $x > k_2$ $|f_2(x)| \leq C_2 \cdot |g_2(x)|$

$x > \max(k_1, k_2)$ $|(f_1 + f_2)(x)| = |f_1(x) + f_2(x)| \leq |f_1(x)| + |f_2(x)|$
 $\leq C_1 |g_1(x)| + C_2 |g_2(x)|$
 $\leq (C_1 + C_2) \cdot \max(|g_1(x)|, |g_2(x)|)$

Witnesses: $C = C_1 + C_2$, $k = \max(k_1, k_2)$

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End of Proof 18

Corollary: If $f_1(x) = O(g(x))$, $f_2(x) = O(g(x))$
 then $(f_1 + f_2)(x) = O(g(x))$

Theorem: If $f_1(x) = O(g_1(x))$, $f_2(x) = O(g_2(x))$
 then $(f_1 f_2)(x) = O(g_1(x) g_2(x))$

$$3n \log(n!) + (n^2 + 3) \log n = O(n^2 \log n)$$

Multiplication

$3n = O(n)$ $\log(n!) = O(n \log n)$	$3n \log(n!)$ $= O(n \cdot n \log n)$ $= O(n^2 \log n)$	<p style="color: blue;">Addition</p> $3n \log(n!) + (n^2 + 3) \log n$ $= O(n^2 \log n)$
$n^2 + 3 = O(n^2)$ $\log n = O(\log n)$	$(n^2 + 3) \log(n)$ $= O(n^2 \log n)$	

Big-Omega: $f(x)$ is $\Omega(g(x))$

(Notation abuse: $f(x) = \Omega(g(x))$)

There are constants C, k (called witnesses) such that for all $x > k$:

$$|f(x)| \geq C \cdot |g(x)|$$

$$8x^3 + 5x^2 + 7 = \Omega(x^3)$$

$$x > 1 \qquad 8x^3 + 5x^2 + 7 \geq 8x^3$$

Witnesses: $C = 8, k = 1$

Same order

Big-Theta: $f(x)$ is $\Theta(g(x))$

(Notation abuse: $f(x) = \Theta(g(x))$)

$$f(x) = O(g(x)) \text{ and } f(x) = \Omega(g(x))$$

Alternative definition:

$$f(x) = O(g(x)) \text{ and } g(x) = O(f(x))$$

$$3x^2 + 8x \log x = \Theta(x^2)$$

$$3x^2 + 8x \log x \leq 3x^2 + 8x^2 = 11x^2$$

$$3x^2 + 8x \log x = O(x^2) \quad \text{Witnesses: } C = 11, \quad k = 1$$

$$3x^2 + 8x \log x \geq 3x^2$$

$$3x^2 + 8x \log x = \Omega(x^2) \quad \text{Witnesses: } C = 3, \quad k = 1$$

Theorem: If $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$
then $f(x) = \Theta(x^n)$

Proof: We have shown: $f(x) = O(x^n)$
We only need to show $f(x) = \Omega(x^n)$

Take $x > 1$ and examine two cases

Case 1: $a_n > 0$

Case 2: $a_n < 0$

Case 1: $a_n > 0$ ($x > 1$)

$$b = \max(|a_{n-1}|, |a_{n-2}|, \dots, |a_0|)$$

$$\begin{aligned} f(x) &= a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 \\ &\geq a_n x^n - nbx^{n-1} \\ &\geq a' x^n \end{aligned}$$

For $0 < a' < a_n$ and $x \geq \frac{nb}{(a_n - a')}$

Case 2 is similar

End of Proof

Complexity of Algorithms

Time complexity

Number of operations performed

Space complexity

Size of memory used

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Linear search algorithm

```
Linear-Search(  $x, a_1, a_2, \dots, a_n$  ) {  
     $i \leftarrow 1$   
    while(  $i \leq n$  and  $x \neq a_i$  )  
         $i++$   
    if (  $i \leq n$  ) return  $i$  //item found  
    else return 0 //item not found  
}
```

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Time complexity

Comparisons

Item not found in list: $2(n+1)+1$

Item found in position i : $2i+1$

Worst case performance: $2(n+1)+1 = O(n)$

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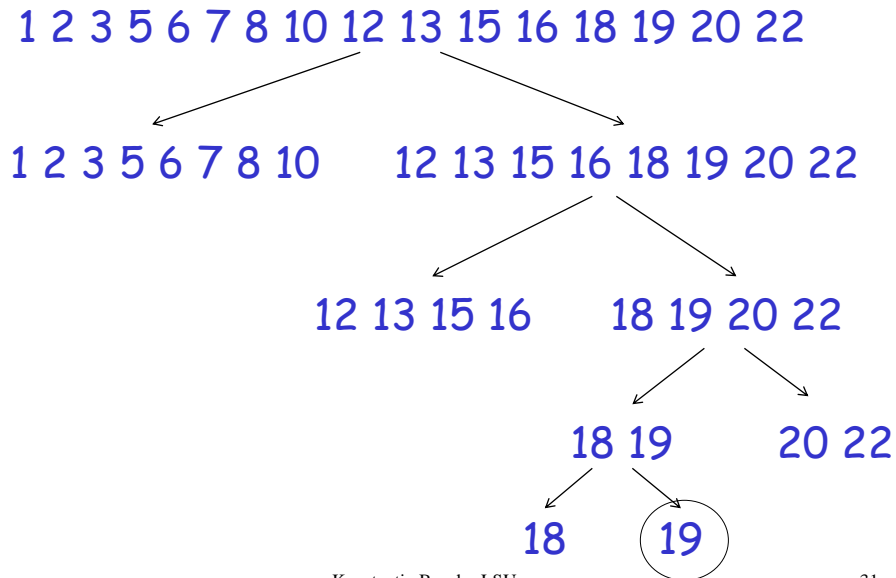
Binary search algorithm

```
Binary-Search(  $x, a_1, a_2, \dots, a_n$  ) {  
   $i \leftarrow 1$  //left endpoint of search area  
   $j \leftarrow n$  //right endpoint of search area  
  while( $i < j$ ) {  
     $m \leftarrow \lfloor (i+j)/2 \rfloor$   
    if ( $x > a_m$ )  $i \leftarrow m+1$  //item is in right half  
    else  $j \leftarrow m$  //item is in left half  
  }  
  if ( $x = a_i$ ) return  $i$  //item found  
  else return 0 //item not found  
}
```

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Search 19



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Time complexity

Size of search list at iteration 1: $\frac{n}{2^0}$

Size of search list at iteration 2: $\frac{n}{2^1}$

⋮

Size of search list at iteration k : $\frac{n}{2^{k-1}}$

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Size of search list at iteration k : $\frac{n}{2^{k-1}}$

Smallest list size: 1

in last iteration m : $\frac{n}{2^{m-1}} = 1$



$$m = 1 + \log n$$

Total comparisons:

$$(1 + \log n) \cdot 2 + 1 = \Theta(\log n)$$

#iterations Comparisons per iteration Last comparison

Bubble sort algorithm

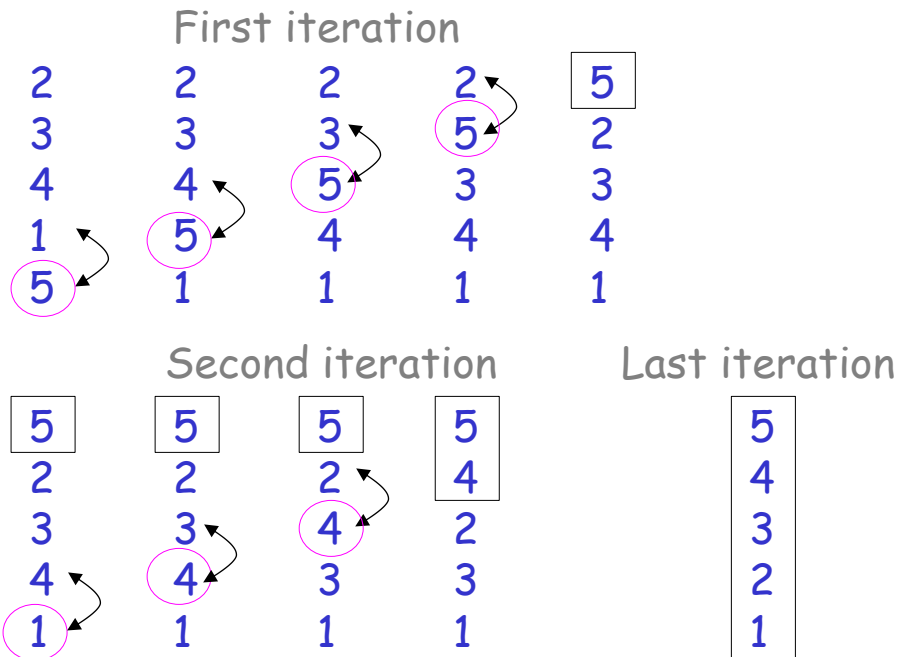
```

Bubble-Sort( $a_1, a_2, \dots, a_n$ ) {
  for ( $i \leftarrow 1$  to  $n-1$ ) {
    for ( $j \leftarrow 1$  to  $n-i$ )
      if ( $a_j > a_{j+1}$ )
        swap  $a_j, a_{j+1}$ 
  }
}

```

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Time complexity

Comparisons in iteration 1: $n-1$

Comparisons in iteration 2: $n-2$

⋮

Comparisons in iteration $n-1$: 1

Total: $1+2+\dots+(n-1) = \frac{(n-1)n}{2} = \Theta(n^2)$

Tractable problems

Class P :

Problems with algorithms whose
time complexity is polynomial $O(n^b)$

Examples: Search, Sorting, Shortest path

Intractable problems

Class NP :

Solution can be verified in polynomial time
but no polynomial time algorithm is known

Examples: Satisfiability, TSP, Vertex coloring

Important computer science question

$$P = NP ?$$

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Unsolvable problems

There exist unsolvable problems which
do not have any algorithm

Example: Halting problem in Turing Machines

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Integers and Algorithms

Base b expansion of integer n :

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b^1 + a_0$$
$$(a_k a_{k-1} \dots a_1 a_0)_b$$

Integers: $k \geq 0$ $0 \leq a_i < b$

Example: $(276)_{10} = 2 \cdot 10^2 + 7 \cdot 10 + 6$

Binary expansion

Digits: 0,1

$$(101011111)_2$$
$$= 1 \cdot 2^8 + 0 \cdot 2^7 + 1 \cdot 2^6 + 0 \cdot 2^5$$
$$+ 1 \cdot 2^4 + 1 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0$$
$$= 351$$

Hexadecimal expansion

Digits: 0,1,2,...,9, A, B, C, D, E, F

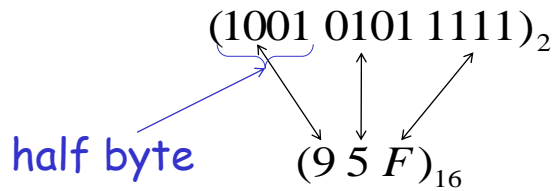
$$\begin{aligned}(2AE0B)_{16} \\ &= 2 \cdot 16^4 + 10 \cdot 16^3 + 14 \cdot 16^2 + 0 \cdot 16 + 11 \\ &= 175627\end{aligned}$$

Octal expansion

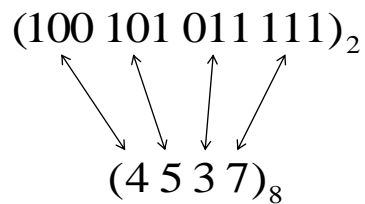
Digits: 0,1,2,...,7

$$\begin{aligned}(245)_8 \\ &= 2 \cdot 8^2 + 4 \cdot 8 + 5 \\ &= 165\end{aligned}$$

Conversion between binary and hexadecimal



Conversion between binary and octal



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```
Base  $b$  expansion( $n$ ) {  
   $q \leftarrow n$   
   $k \leftarrow 0$   
  While ( $q \neq 0$ ) {  
     $a_k \leftarrow q \bmod b$   
     $q \leftarrow \lfloor q/b \rfloor$   
     $k \leftarrow k + 1$   
  }  
  return  $(a_{k-1}a_{k-2} \cdots a_1a_0)_b$   
}
```

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Binary expansion of $241 = (11110001)_2$

$$\begin{aligned} 241 &= 2 \cdot 120 + 1 & a_0 \\ 120 &= 2 \cdot 60 + 0 & a_1 \\ 60 &= 2 \cdot 30 + 0 & a_2 \\ 30 &= 2 \cdot 15 + 0 & \\ 15 &= 2 \cdot 7 + 1 & \vdots \\ 7 &= 2 \cdot 3 + 1 & \cdot \\ 3 &= 2 \cdot 1 + 1 & \\ 1 &= 2 \cdot 0 + 1 & a_7 \end{aligned}$$

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Octal expansion of $12345 = (30071)_8$

$$\begin{aligned} 12345 &= 8 \cdot 1543 + 1 & a_0 \\ 1543 &= 8 \cdot 192 + 7 & a_1 \\ 192 &= 8 \cdot 24 + 0 & a_2 \\ 24 &= 8 \cdot 3 + 0 & a_3 \\ 3 &= 8 \cdot 0 + 3 & a_4 \end{aligned}$$

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```

Binary_addition( $a, b$ ) {
   $a = (a_{n-1}a_{n-2} \cdots a_1a_0)_2$ 
   $b = (b_{n-1}b_{n-2} \cdots b_1b_0)_2$ 
   $c \leftarrow 0$  //carry bit
  for  $j \leftarrow 0$  to  $n-1$  {
     $d \leftarrow \lfloor (a_j + b_j + c) / 2 \rfloor$  //auxilliary
     $s_j \leftarrow a_j + b_j + c - 2d$  //j sum bit
     $c \leftarrow d$  //carry bit
  }
   $s_n \leftarrow c$  //last sum bit
  return  $(s_n s_{n-1} \cdots s_1 s_0)_2$ 
}

```

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```

Carry bit: 1 1 1
      1 1 1 0   a
    + 1 0 1 1   b
    -----
    1 1 0 0 1

```

Time complexity of binary addition: $O(n)$
 (counting bit additions) $O(\log a)$

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```

Binary_multiplication(a, b) {
  a = (an-1an-2 ··· a1a0)2
  b = (bn-1bn-2 ··· b1b0)2
  for j ← 0 to n - 1 {
    if (bj = 1)
      cj ← a · 2j // a shifted j positions
    else
      cj ← 0
  }
  p ← c0 + c1 + ··· + cn-1
  return binary expansion of p
}

```

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$$\begin{array}{rcccccl}
 & & & 1 & 1 & 0 & a \\
 \times & & & 1 & 0 & 1 & b \\
 \hline
 & & & 1 & 1 & 0 & c_0 \\
 & & 0 & 0 & 0 & & c_1 \\
 + & 1 & 1 & 0 & & & c_2 \\
 \hline
 1 & 1 & 1 & 1 & 0 & &
 \end{array}$$

Time complexity of multiplication: $O(n)$
(counting shifts and bit additions) $O(\log^2 a)$

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Integers and Division

Integers a, b ($a \neq 0$)

a divides b : $a \mid b \quad \exists c, b = a \cdot c$
factor

Examples: $3 \mid 12 \quad 12 = 3 \cdot 4$

$3 \nmid 7$

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a, b, c integers

if $a \mid b$ then $a \mid bc$

$a \mid b \implies \exists s \quad b = a \cdot s \implies bc = a \cdot (sc)$

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a, b, c integers

if $a|b$ and $a|c$ then $a|(b+c)$

$$\left. \begin{array}{l} a|b \implies \exists s \quad b = a \cdot s \\ a|c \implies \exists t \quad c = a \cdot t \end{array} \right\} b + c = a \cdot (s + t)$$

a, b, c integers

if $a|b$ and $b|c$ then $a|c$

$$\left. \begin{array}{l} a|b \implies \exists s \quad b = a \cdot s \\ b|c \implies \exists t \quad c = b \cdot t \end{array} \right\} c = a \cdot st$$

a, b, c, m, n integers

if $a \mid b$ and $a \mid c$ then $a \mid mb + nc$

$$\left. \begin{array}{l} a \mid b \xrightarrow{\text{yellow}} a \mid mb \\ a \mid c \xrightarrow{\text{yellow}} a \mid nc \end{array} \right\} \xrightarrow{\text{yellow}} a \mid mb + nc$$

The division "algorithm"

$$a \in \mathbb{Z} \quad d \in \mathbb{Z}^+$$

There are unique $q, r \in \mathbb{Z}$ such that:

$$a = d \cdot q + r$$

divisor quotient remainder

$$0 \leq r < d$$

$$a = d \cdot q + r$$

$$q = a \operatorname{div} d \quad r = a \operatorname{mod} d$$

$$q = \left\lfloor \frac{a}{d} \right\rfloor \quad r = \left| a - \left\lfloor \frac{a}{d} \right\rfloor d \right|$$

Examples: $101 = 11 \cdot 9 + 2$

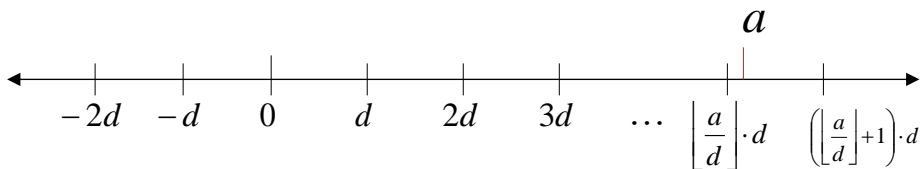
$$9 = 101 \operatorname{div} 11 \quad 2 = 101 \operatorname{mod} 11$$

$$-11 = 3(-4) + 1$$

$$-4 = -11 \operatorname{div} 3 \quad 1 = -11 \operatorname{mod} 3$$

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Number of positive integers divisible by d
and not exceeding a :

$$\left\lfloor \frac{a}{d} \right\rfloor$$

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```

Division_algorithm( $a, d$ ) {
   $q \leftarrow 0$     $r \leftarrow |a|$ 
  while ( $r \geq d$ ) {
     $r \leftarrow r - d$ 
     $q \leftarrow q + 1$ 
  }
  if ( $a < 0$  and  $r > 0$ ) { //a is negative
     $r \leftarrow d - r$       //adjust r
     $q \leftarrow -(q + 1)$   //adjust q
  }
  else if ( $a < 0$ ) {  $q \leftarrow -q$  }
  return  $q$  ( $a \text{ div } d$ ),  $r$  ( $a \text{ mod } d$ )
}

```

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$a = 15$		r	q
$d = 4$		15	0
	$15 - 4 = 11$		1
	$11 - 4 = 7$		2
	$7 - 4 = 3$		3
		$r = 15 \text{ mod } 4 = 3$	$q = 15 \text{ div } 4 = 3$

Time complexity of division alg.: $O(q \log a)$

There is a better algorithm: $O(\log a \cdot \log d)$
 (based on binary search)

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Modular Arithmetic

$$a, b \in \mathbb{Z} \qquad m \in \mathbb{Z}^+$$

$$a \equiv b \pmod{m}$$

" a is congruent to b modulo m "

$$a \bmod m = b \bmod m$$

Examples: $1 \equiv 13 \pmod{12}$ $0 \equiv m \pmod{m}$

$$11 \equiv 5 \pmod{6} \qquad k \cdot m \equiv 0 \pmod{m}$$

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Equivalent definitions

$$a \equiv b \pmod{m}$$



$$a \bmod m = b \bmod m$$



$$m \mid a - b$$

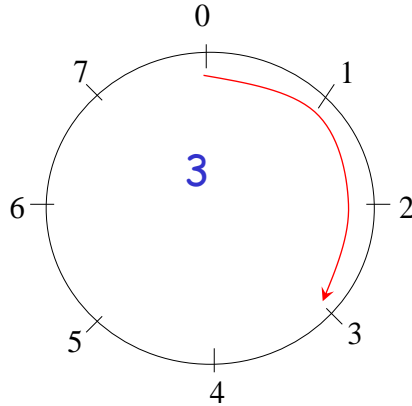


$$\exists k \in \mathbb{Z}, \quad a = b + km$$

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$$3 \bmod 8 = 3$$

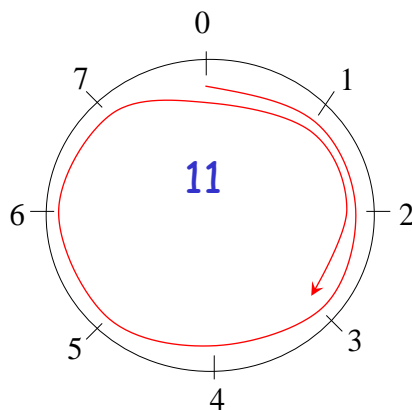


Length of line represents number

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$$11 \bmod 8 = 3$$

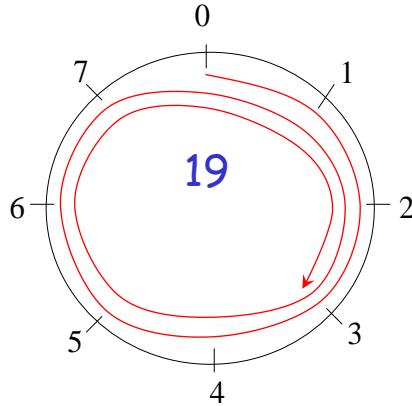


Length of helix line represents number

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$$19 \bmod 8 = 3$$

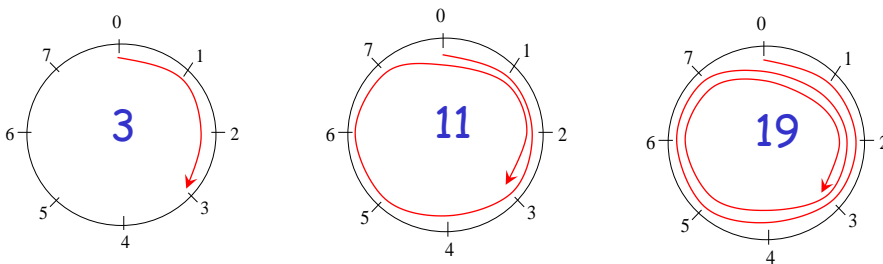


Length of helix line represents number

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$$3 \equiv 11 \equiv 19 \pmod{8}$$



Helix lines terminate in same number

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Congruence class of a modulo m :

$$S_a = \{b \mid a \equiv b \pmod{m}\}$$

There are m congruence classes:

$$S_0, S_1, \dots, S_{m-1}$$

$$\left. \begin{array}{l} a \equiv b \pmod{m} \\ c \equiv d \pmod{m} \end{array} \right\} \Rightarrow a + c \equiv b + d \pmod{m}$$

$$\left. \begin{array}{l} a \equiv b \pmod{m} \Rightarrow a = b + sm \\ c \equiv d \pmod{m} \Rightarrow c = d + tm \end{array} \right\} a + c = d + b + (s+t)m$$

$$\left. \begin{array}{l} a \equiv b \pmod{m} \\ c \equiv d \pmod{m} \end{array} \right\} \Rightarrow a \cdot c \equiv b \cdot d \pmod{m}$$

$$\left. \begin{array}{l} a \equiv b \pmod{m} \Rightarrow a = b + sm \\ c \equiv d \pmod{m} \Rightarrow c = d + tm \end{array} \right\} \begin{array}{l} a \cdot c = (b + sm)(d + tm) \\ = bd + m(bt + ds + stm) \end{array}$$

$$7 \equiv 2 \pmod{5}$$

$$11 \equiv 1 \pmod{5}$$

$$18 = 7 + 11 \equiv (2 + 1) \pmod{5} = 3 \pmod{5}$$

$$77 = 7 \cdot 11 \equiv (2 \cdot 1) \pmod{5} = 2 \pmod{5}$$

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

$$ab \bmod m = ((a \bmod m)(b \bmod m)) \bmod m$$

Follows from previous results by using:

$$a \bmod m = (a \bmod m) \bmod m$$

$$b \bmod m = (b \bmod m) \bmod m$$

Modular exponentiation

Compute $b^n \bmod m$ efficiently using small numbers

Binary expansion of n

$$b^n = b^{\overbrace{a_{k-1}2^{k-1} + \dots + a_1 2 + a_0}} = b^{a_{k-1}2^{k-1}} \dots b^{a_1 2} b^{a_0}$$

$$b^n \bmod m$$

$$= b^{a_{k-1}2^{k-1}} \dots b^{a_1 2} b^{a_0} \bmod m$$

$$= ((b^{a_{k-1}2^{k-1}} \bmod m) \dots (b^{a_1 2} \bmod m) \cdot (b^{a_0} \bmod m)) \bmod m$$

Example: $3^{644} \bmod 645 = 36$

$$644 = 1010000100 = 2^9 + 2^7 + 2^2$$

$$3^{644} = 3^{2^9+2^7+2^2} = 3^{2^9} 3^{2^7} 3^{2^2}$$

$$3^{644} \bmod 645$$

$$= (3^{2^9} 3^{2^7} 3^{2^2}) \bmod 645$$

$$= ((3^{2^9} \bmod 645)(3^{2^7} \bmod 645)(3^{2^2} \bmod 645) \bmod 645)$$

Compute all the powers of 3 efficiently

$$3^2 \bmod 645 = 9 \bmod 645 = 9$$

$$3^{2^2} \bmod 645 = (3^2)^2 \bmod 645 = ((3^2 \bmod 645)(3^2 \bmod 645)) \bmod 645 = (9 \cdot 9 \bmod 645) = 81$$

$$3^{2^3} \bmod 645 = (3^{2^2})^2 \bmod 645 = ((3^{2^2} \bmod 645)(3^{2^2} \bmod 645)) \bmod 645 = 81 \cdot 81 \bmod 645 = 111$$

⋮

$$3^{2^9} \bmod 645 = (3^{2^8})^2 \bmod 645 = ((3^{2^8} \bmod 645)(3^{2^8} \bmod 645)) \bmod 645 = 111$$

Use the powers of 3 to get result efficiently

$$3^{644}$$

$$= (3^{2^9} 3^{2^7} 3^{2^2} \bmod 645)$$

$$= (3^{2^9} 3^{2^7} (3^{2^2} \bmod 645) \bmod 645) \bmod 645 = (3^{2^9} 3^{2^7} 81 \bmod 645)$$

$$= (3^{2^9} (((3^{2^7} \bmod 645) 81) \bmod 645) \bmod 645) \bmod 645 = (3^{2^9} ((396 \cdot 81) \bmod 645) \bmod 645) \bmod 645 = (3^{2^9} \cdot 471 \bmod 645)$$

$$= (((3^{2^9} \bmod 645) \cdot 471) \bmod 645) \bmod 645 = 111 \cdot 471 \bmod 645 = 36$$

```

Modular_Exponentiation( $b, n, m$ ) {
   $n = (a_{n-1}a_{n-2} \cdots a_1a_0)_2$ 
   $x \leftarrow 1$ 
   $power \leftarrow b \bmod m$ 
  for  $i = 0$  to  $k - 1$  {
    if ( $a_i = 1$ )  $x \leftarrow (x \cdot power) \bmod m$ 
     $power \leftarrow (power \cdot power) \bmod m$ 
  }
  return  $x \ (b^n \bmod m)$ 
}

```

Time complexity: $O(\log^2 m \cdot \log n)$
bit operations

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Congruent application: Hashing functions

$$h(k) = k \bmod m$$

Example: $h(k) = k \bmod 111$

Employer id	Folder#
$h(064212848) = 064212848 \bmod 111 = 14$	
$h(037149212) = 037149212 \bmod 111 = 65$	
$h(107405723) = 107405723 \bmod 111 = 14$	collision

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Application: Pseudorandom numbers

Sequence of pseudorandom numbers

$$x_0, x_1, x_2, \dots$$

Linear congruential method: $x_{n+1} = (ax_n + c) \bmod m$

$$\begin{array}{ll} 2 \leq a < m & \text{seed} \\ 0 \leq c < m & 0 \leq x_0 < m \end{array}$$

Example: $x_{n+1} = (7x_n + 4) \bmod 9$ $x_0 = 3$ *seed*

3,7,8,6,1,2,0,4,53,7,8,6,1,2,0,4,5,3...

Application: Cryptology

"MEET YOU IN THE PARK"

$$\begin{array}{ccc} \text{encryption} & \downarrow & \uparrow & \text{decryption} \\ f(x) = (x+3) \bmod m & & & f^{-1}(x) = (x-3) \bmod m \end{array}$$

"PHHW BRX LQ WKH SDUN"

Shift cipher: $f(x) = (x+2) \bmod m$

Affine transformation: $f(x) = (ax+b) \bmod m$

Primes and Greatest Common Divisor

Prime p : Positive integer greater than 1,
only positive factors are 1, p

Non-prime = composite


Primes: 2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, ...

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Fundamental theorem of arithmetic

Every positive integer is either prime
or a unique product of primes

Prime factorization: $m = p_1^{k_1} p_2^{k_2} p_3^{k_3} \cdots p_l^{k_l}$

 prime

Examples: $100 = 2^5 \cdot 5^2$ $999 = 3^3 \cdot 37$

$7007 = 7^2 \cdot 11 \cdot 13$

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Theorem: If n is composite then it has prime divisor $p \leq \sqrt{n}$

Proof:

n is composite $\implies \exists a, \exists b, 1 < a, b < n, n = ab$

$c = \min(a, b) \leq \sqrt{n}$ since otherwise
 $ab > \sqrt{n}\sqrt{n} = n$

From fundamental theorem of arithmetic
 c is either prime or has a prime divisor

End of Proof

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```
Prime_factorization( $n$ ) {
   $p \leftarrow 2$  //first prime
   $n' \leftarrow n$ 
  while ( $n' > 1$  and  $p \leq \sqrt{n'}$ ) {
    if ( $p$  divides  $n'$ ) {
       $p$  is a factor of  $n$ 
       $n' \leftarrow n' / p$ 
    }
    else
       $p \leftarrow$  next prime after  $p$ 
  }
  return all prime factors found
}
```

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$$n = 7007$$

$p = 2, 3, 5$ do not divide 7007

$$p = 7 \quad 7007 = 7 \cdot 1001 \quad n'$$

$$p = 7 \quad 1001 = 7 \cdot 143$$

$p = 7$ does not divide 143

$$p = 11 \quad 143 = 11 \cdot 13$$

$$p = 11 \quad 13 \quad (11 > \sqrt{13})$$

$$n = 7 \cdot 7 \cdot 11 \cdot 13 = 7^2 \cdot 11 \cdot 13$$

Theorem: There are infinitely many primes

Proof: Suppose finite primes p_1, p_2, \dots, p_k

$$\text{Let } q = p_1 p_2 \cdots p_k + 1$$

If some prime $p_i \mid q$
 Since $p_i \mid -p_1 p_2 \cdots p_k$ } $\implies p_i \mid q - p_1 p_2 \cdots p_k = 1$
 impossible

No prime divides $q \implies q$ is prime

(From fundamental theorem of arithmetic)

Contradiction!

End of Proof

Largest prime known (as of 2006)

$$2^{30,402,457} - 1$$

Mersenne primes have the form: $2^k - 1$

$$2^2 - 1 = 3$$

$$2^3 - 1 = 5$$

$$2^5 - 1 = 31$$

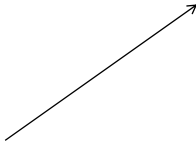
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Prime number theorem

The number of primes less or equal to n approaches to:

$$\frac{n}{\ln n}$$

$\log_e n$ 

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Goldbach's conjecture:

Every integer is the sum of two primes

$$4 = 2 + 2 \quad 6 = 3 + 3 \quad 6 = 5 + 3 \quad 10 = 7 + 3$$

Twin prime conjecture:

There are infinitely many twin primes

Twin primes differ by 2: 3,5 5,7 11,13 17,19

Greatest common divisor

$\gcd(a, b) =$ largest integer d
such that $d \mid a$ and $d \mid b$

$$a, b \in \mathbb{Z}$$

$$|a| + |b| \neq 0$$

Examples: $\gcd(24, 36) = 12$

Common divisors of 24, 36: 1, 2, 3, 4, 6, 12

$$\gcd(17, 22) = 1$$

Common divisors of 17, 22: 1

Trivial cases:

$$\gcd(m,1) = 1$$

$$\gcd(m,0) = m \quad m \neq 0$$

Theorem: If $a = b \cdot q + r$ $\begin{matrix} (a/b) \\ 0 \leq r < b \end{matrix}$
then $\gcd(a,b) = \gcd(b,r)$

Proof:

$$\begin{array}{ccccccc} d \mid a & & a = ds & & r = d(s - tq) & & d \mid r \\ d \mid b & \xrightarrow{\text{yellow}} & b = dt & \xrightarrow{\text{yellow}} & b = dt & \xrightarrow{\text{yellow}} & d \mid b \end{array}$$

Thus, (a,b) and (b,r) have
the same set of common divisors

End of proof

divisions	$a = r_0$	$b = r_1$	remainder
r_0 / r_1	$r_0 =$	$r_1 q_1 + r_2$	$0 < r_2 < r_1$
r_1 / r_2	$r_1 =$	$r_2 q_2 + r_3$	$0 < r_3 < r_2$
\vdots	\vdots	\vdots	\vdots
r_{n-2} / r_{n-1}	$r_{n-2} =$	$r_{n-1} q_{n-1} + r_n$	$0 < r_n < r_{n-1}$
r_{n-1} / r_n	$r_{n-1} =$	$r_n q_n + 0$	

first zero
result

$$\gcd(a, b) = \gcd(r_0, r_1) = \gcd(r_1, r_2) = \gcd(r_2, r_3) \cdots$$

$$\cdots = \gcd(r_{n-2}, r_{n-1}) = \gcd(r_{n-1}, r_n) = \gcd(r_n, 0) = r_n$$

$a = 662$	$b = 414$	
$662 =$	$414 \cdot 1 + 248$	$r_2 = 248 < 414 = r_1$
$414 =$	$248 \cdot 1 + 166$	$r_3 = 166 < 248 = r_2$
$248 =$	$166 \cdot 1 + 82$	$r_4 = 82 < 166 = r_3$
$166 =$	$82 \cdot 2 + 2$	$r_5 = 2 < r_4 = 82$
$82 =$	$2 \cdot 41 + 0$	

result

$$\gcd(662, 414) = \gcd(414, 248) = \gcd(248, 166)$$

$$= \gcd(166, 82) = \gcd(82, 2) = \gcd(2, 0) = 2$$

a b

r_0 r_1 r_2 r_3 r_4 \dots r_{n-1} r_n 0

$$r_0 \bmod r_1 = r_2$$

$$r_1 \bmod r_2 = r_3$$

$$r_2 \bmod r_3 = r_4$$

$$r_{n-2} \bmod r_{n-1} = r_n$$

$$r_{n-1} \bmod r_n = 0$$

$$\gcd(a, b) = r_n$$

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a b

r_n

662 414 248 166 82 2 0

$$662 \bmod 414 = 248$$

$$414 \bmod 248 = 166$$

$$248 \bmod 166 = 82$$

$$166 \bmod 82 = 2$$

$$82 \bmod 2 = 0$$

$$\gcd(a, b) = r_n = 2$$

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a *b* Descending sequence:

$$r_0 > r_1 > \dots > r_i > r_{i+1} > r_{i+2} > \dots > r_n > 0$$

$$r_i \bmod r_{i+1} = r_{i+2}$$

Property: $\frac{r_i}{2} > r_{i+2}$

Case 1: $\frac{r_i}{2} \geq r_{i+1} \implies \frac{r_i}{2} \geq r_{i+1} > r_{i+2}$

a *b* Descending sequence:

$$r_0 > r_1 > \dots > r_i > r_{i+1} > r_{i+2} > \dots > r_n > 0$$

$$r_i \bmod r_{i+1} = r_{i+2}$$

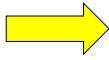
Property: $\frac{r_i}{2} > r_{i+2}$

Case 2: $\frac{r_i}{2} < r_{i+1} \implies r_i - r_{i+1} = r_{i+2} < \frac{r_i}{2}$

$a \quad b$ Descending sequence:

$$r_0 > r_1 > \dots > r_i > r_{i+1} > r_{i+2} > \dots > r_n > 0$$

$$r_i \bmod r_{i+1} = r_{i+2}$$

Property: $\frac{r_i}{2} > r_{i+2}$  $n \leq 2 \log a$

Euclidian Algorithm

```

gcd(a,b) {
  x ← a
  y ← b
  while (y ≠ 0) {
    r ← x mod y
    x ← y
    y ← r
  }
  return x
}

```

Time complexity: $O(\log a)$ divisions

Relatively prime numbers

If $\gcd(a,b) = 1$ then a, b are relatively prime

a and b have no common factors in their prime factorization

Example: 21, 22 are relatively prime

$$\gcd(21,22) = 1$$

$$21 = 3 \cdot 7 \quad 22 = 2 \cdot 11$$

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Least common multiple

$\text{lcm}(a,b) =$ smallest positive integer d
such that $a \mid d$ and $b \mid d$
 $a, b \in \mathbb{Z}^+$

Examples: $\text{lcm}(3,4) = 12$

$\text{lcm}(5,10) = 10$

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Applications of Number Theory

Linear combination:

if $a, b \in \mathbb{Z}^+$ then there are $s, t \in \mathbb{Z}$ such that

$$\gcd(a, b) = sa + tb$$

Example: $\gcd(6, 14) = 2 = (-2) \cdot 6 + 1 \cdot 14$

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The linear combination can be found by reversing the Euclidian algorithm steps

$$\gcd(252, 198) = 18 = 4 \cdot 252 - 5 \cdot 198$$

$$252 = 1 \cdot 198 + 54$$

$$198 = 3 \cdot 54 + 36$$

$$54 = 1 \cdot 36 + 18$$

$$36 = 2 \cdot 18 + 0$$

$$\gcd(252, 198) = 18$$

$$= 54 - 1 \cdot 36 = 54 - 1 \cdot (198 - 3 \cdot 54)$$

$$= 4 \cdot 54 - 1 \cdot 198 = 4 \cdot (252 - 1 \cdot 198) - 1 \cdot 198$$

$$= 4 \cdot 252 - 5 \cdot 198$$

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Linear congruences

We want to solve the equation for x

$$a \cdot x \equiv b \pmod{m}$$



$$x \equiv ? \pmod{m}$$

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Inverse of a : $\bar{a}a \equiv 1 \pmod{m}$

$$\left. \begin{array}{l} a \cdot x \equiv b \pmod{m} \\ \bar{a} \equiv \bar{a} \pmod{m} \end{array} \right\} \Rightarrow \bar{a}a \cdot x \equiv \bar{a}b \pmod{m}$$

$$\left. \begin{array}{l} \bar{a}a \equiv 1 \pmod{m} \\ x \equiv x \pmod{m} \end{array} \right\} \Rightarrow \bar{a}a \cdot x \equiv 1 \cdot x \pmod{m}$$



$$x \equiv \bar{a}b \pmod{m}$$

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Theorem: If a and m are relatively prime
then the inverse \bar{a} modulo m exists

Proof: $\gcd(a, m) = 1 = sa + tm$



$$sa \equiv 1 \pmod{m}$$



$$\bar{a} = s$$

End of proof

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Example: solve equation $3x \equiv 4 \pmod{7}$
 $a = 3, b = 4, m = 7$

Inverse of 3: $\bar{a} = -2$

$$\gcd(3, 7) = 1 = (-2) \cdot 3 + 1 \cdot 7 \quad \longrightarrow \quad -2 \cdot 3 \equiv 1 \pmod{m}$$

$$x \equiv \bar{a}b \pmod{m}$$

$$x \equiv -2 \cdot 4 \pmod{7} \equiv -8 \pmod{7} \equiv 6 \pmod{7}$$

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Chinese remainder problem

m_1, m_2, \dots, m_n : pairwise relatively prime

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

\vdots

$$x \equiv a_n \pmod{m_n}$$

Has unique solution for x modulo $m = m_1 \cdot m_2 \cdots m_n$

$$x \pmod{m}$$

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Unique solution modulo $m = m_1 \cdot m_2 \cdots m_n$:

$$x = a_1 M_1 y_1 + a_2 M_2 y_2 + \cdots + a_n M_n y_n$$

$$M_k = \frac{m}{m_k}$$

y_k : inverse of M_k modulo m_k

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Explanation: y_k : inverse of M_k modulo m_k

$$M_k = \frac{m}{m_k}$$

$$M_k y_k \equiv 1 \pmod{m_k}$$

$$x = a_1 M_1 y_1 + a_2 M_2 y_2 + \cdots + a_n M_n y_n$$

$$x \equiv a_1 M_1 y_1 \pmod{m_1}$$

$$M_{k \neq 1} \equiv 0 \pmod{m_1}$$

$$x \equiv a_1 \pmod{m_1}$$

Similar for any m_j

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Example: $x \equiv 2 \pmod{3}$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 2 \pmod{7}$$

$m = 3 \cdot 5 \cdot 7 = 105$	$M_1 = m/3 = 105/3 = 35$	$y_1 = 2$
	$M_2 = m/5 = 105/5 = 21$	$y_2 = 1$
	$M_3 = m/7 = 105/7 = 15$	$y_3 = 1$

$$\begin{aligned} x &= a_1 M_1 y_1 + a_2 M_2 y_2 + a_3 M_3 y_3 \\ &= 2 \cdot 35 \cdot 2 + 3 \cdot 21 \cdot 1 + 2 \cdot 15 \cdot 1 \\ &= 233 \equiv 23 \pmod{3 \cdot 5 \cdot 7} \equiv 23 \pmod{105} \end{aligned}$$

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Fermat's little theorem:

For any prime p and integer a
not divisible by p ($\gcd(a, p) = 1$):

$$a^{p-1} \equiv 1 \pmod{p}$$

Example: $2^{340} \equiv 1 \pmod{341}$

$$a = 2 \quad p = 341$$

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Proof:

Property 1:

p does not divide any of:

$$1a, \quad 2a, \quad 3a, \quad \dots, \quad (p-1)a$$

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Explanation:

Suppose p divides ka , $1 \leq k \leq p-1$



$$\exists s \in \mathbb{Z} : ka = sp$$

$\gcd(a, p) = 1$
 $1 \leq k \leq p-1$

Does not have p
as prime factor

has p
as prime factor

Contradicts fundamental theorem of arithmetic

Property 2:

any pair below is not congruent modulo p :

$$1a, 2a, 3a, \dots, (p-1)a$$

Explanation:

Suppose $xa \equiv ya \pmod{p}$, $1 \leq x < y \leq p-1$

$$\exists s \in \mathbb{Z} : \begin{array}{c} \downarrow \\ ya = xa + sp \end{array}$$

$$\begin{array}{c} \downarrow \\ (y-x)a = sp \end{array}$$

$$\begin{array}{c} \downarrow \\ p \text{ divides } (y-x)a \quad 1 \leq y-x \leq p-1 \end{array}$$

Contradicts Property 1

Property 3:

$$1a \cdot 2a \cdot 3a \cdots (p-1)a \equiv 1 \cdot 2 \cdot 3 \cdots (p-1) \pmod{p}$$

Explanation: $1a \equiv x_1 \pmod{p}, \quad 1 \leq x_1 \leq p-1$
 From $2a \equiv x_2 \pmod{p}, \quad 1 \leq x_2 \leq p-1$
 Property 2 \vdots
 $(p-1)a \equiv x_{p-1} \pmod{p}, \quad 1 \leq x_{p-1} \leq p-1$

$$x_i \neq x_j \quad 1 \leq i < j \leq p-1$$



$$x_1 \cdot x_2 \cdot x_3 \cdots x_{p-1} = 1 \cdot 2 \cdot 3 \cdots (p-1)$$



$$1a \cdot 2a \cdot 3a \cdots (p-1)a \equiv 1 \cdot 2 \cdot 3 \cdots (p-1) \pmod{p}$$

Property 4:

$$(p-1)!a^{(p-1)} \equiv (p-1)! \pmod{p}$$

(follows directly from property 3)

Property 5:

$$a^{(p-1)} \equiv 1 \pmod{p}$$

Explanation:

from Property 4:

$$(p-1)!a^{(p-1)} \equiv (p-1)! \pmod{p}$$

p does not divide $(p-1)!$

\downarrow $\gcd(p, (p-1)!) = 1$

$\overline{(p-1)!} \pmod{p}$ exists

$$a^{(p-1)} \equiv 1 \pmod{p}$$

Multiply both sides with:

$$\overline{(p-1)!}$$

End of Proof

RSA (Rivest-Shamir-Adleman) cryptosystem

"MEET YOU IN THE PARK"

$$\begin{array}{ccc}
 \text{encryption} & \downarrow & \text{decryption} \\
 f(x) = x^e \bmod n & & f^{-1}(x) = x^d \bmod n
 \end{array}$$

"9383772909383637467"

$$n = p \cdot q$$

Large primes

n, e are public keys

p, q, d are private keys

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Encryption example: $p = 43$ $q = 59$ $e = 13$

$$n = p \cdot q = 2537$$

$$\gcd(e, (p-1)(q-1)) = \gcd(13, 42 \cdot 58) = 1$$

Message to encrypt: "STOP"

Translate to equivalent numbers

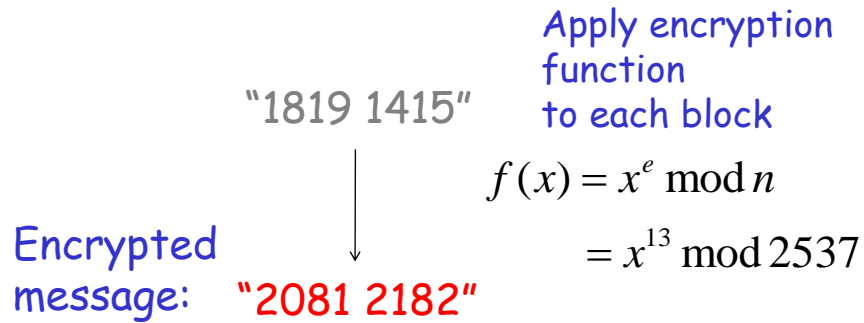
"18 19 14 15"

Group into blocks of two numbers

"1819 1415"

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$$f(1819) = 1819^{13} \bmod 2537 = 2081$$

$$f(1415) = 1415^{13} \bmod 2537 = 2182$$

Message decryption

M :an original block of the message

"1819 1415"



"2081 2182"

C :respective encrypted block

$$C \equiv M^e \pmod{n}$$

We want to find M by knowing C, p, q, e

d :inverse of e modulo $(p-1)(q-1)$

$$de \equiv 1 \pmod{(p-1)(q-1)}$$



by definition of congruent

$$de = 1 + k(p-1)(q-1)$$

Inverse exists because $\gcd(e, (p-1)(q-1)) = 1$

$$\gcd(e, (p-1)(q-1)) = 1 = se + t(p-1)(q-1) \equiv se \pmod{(p-1)(q-1)}$$



$$d = s$$

$$C \equiv M^e \pmod{n}$$



$$C^d \equiv (M^e)^d \pmod{n}$$

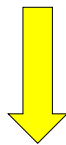


$$de = 1 + k(p-1)(q-1)$$

$$C^d \equiv M^{de} \equiv M^{1+k(p-1)(q-1)} \pmod{n}$$

Very likely it holds $\gcd(M, p) = 1$
(because p is a large prime and M is small)

$$\gcd(M, p) = 1$$



By Fermat's
little theorem

$$M^{p-1} \equiv 1 \pmod{p}$$

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$$M^{p-1} \equiv 1 \pmod{p}$$



$$(M^{p-1})^{k(q-1)} \equiv 1^{k(q-1)} \equiv 1 \pmod{p}$$



$$M \equiv M \pmod{p}$$

$$M \cdot (M^{p-1})^{k(q-1)} \equiv M \cdot 1 \pmod{p}$$



$$M^{1+k(p-1)(q-1)} \equiv M \pmod{p}$$

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We showed:

$$M^{1+k(p-1)(q-1)} \equiv M \pmod{p}$$

By symmetry, when replacing p with q :

$$M^{1+k(p-1)(q-1)} \equiv M \pmod{q}$$

By the Chinese remainder problem:

$$M^{1+k(p-1)(q-1)} \equiv M \pmod{pq} \equiv M \pmod{n}$$

We showed:

$$\left. \begin{array}{l} C^d \equiv M^{1+k(p-1)(q-1)} \pmod{n} \\ M^{1+k(p-1)(q-1)} \equiv M \pmod{n} \end{array} \right\} \Rightarrow C^d \equiv M \pmod{n}$$

In other words:

$$M = C^d \pmod{n}$$

Decryption example: $p = 43$ $q = 59$ $e = 13$

$$n = p \cdot q = 2537$$

$$\gcd(e, (p-1)(q-1)) = \gcd(13, 42 \cdot 58) = 1$$

We can compute: $d = 937$

$$\text{"2081 2182"} \quad f^{-1}(C) = C^d \bmod n$$

$$2081^{937} \bmod 2537 = 1819$$

$$2182^{937} \bmod 2537 = 1415$$

"1819 1415"

"18 19 14 15" = "STOP"