

# Shift Keying

by Erol Seke

For the course “[Communications](#)”

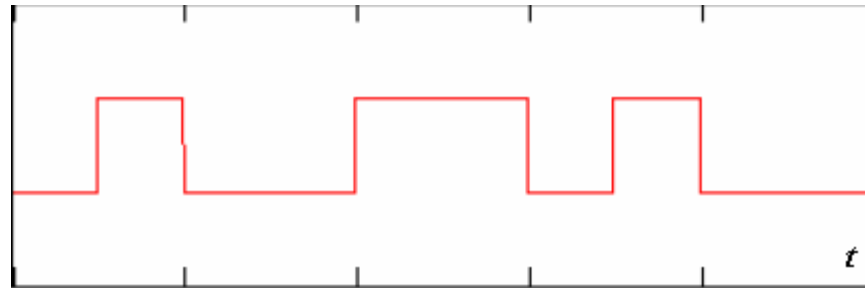


ESKİŞEHİR OSMANGAZI UNIVERSITY

## Basic PAM

Binary 1 is represented by voltage A

Binary 0 is represented by voltage B

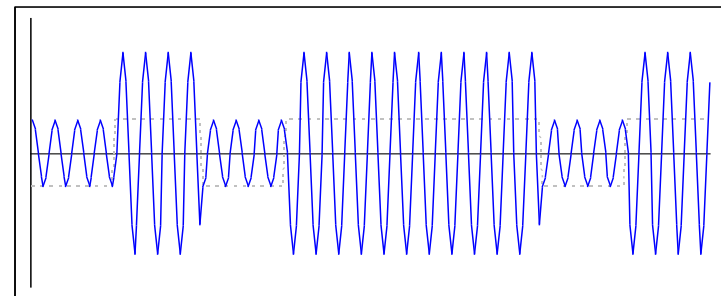
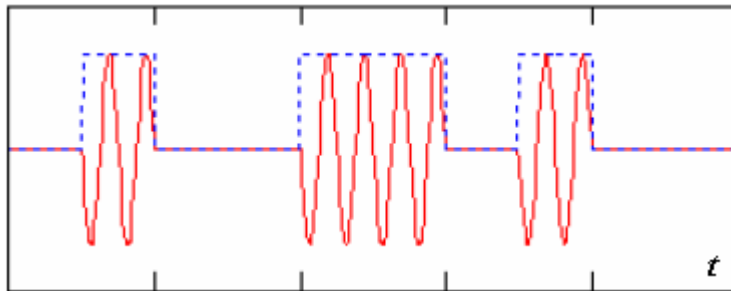


## Amplitude Shift Keying (ASK)

Binary - ASK case

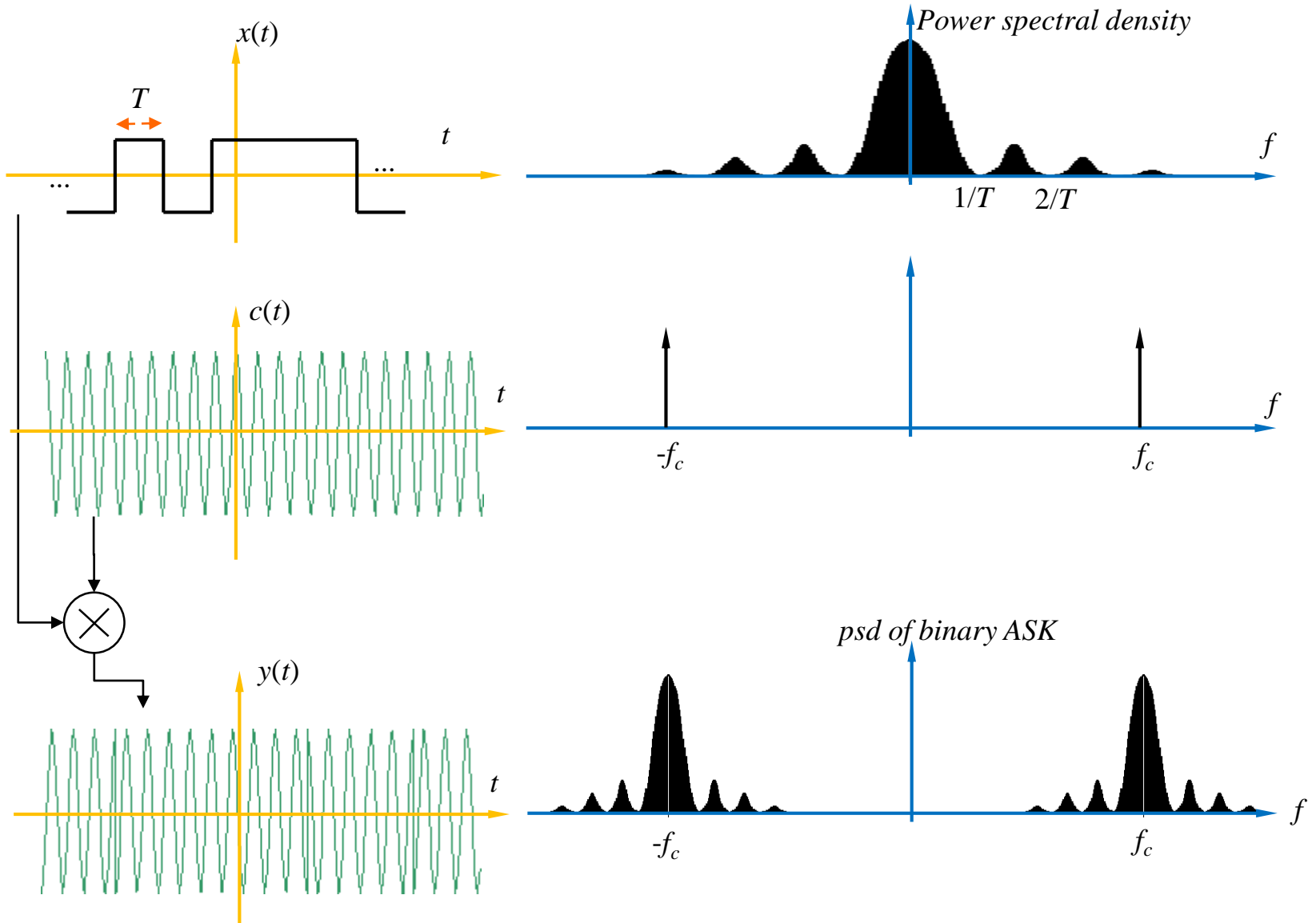
$$ASK(t) = x(t)\cos(2\pi f_c t)$$

← carrier



If A and B has opposite signs then there will be a phase jumps at bit-value changes

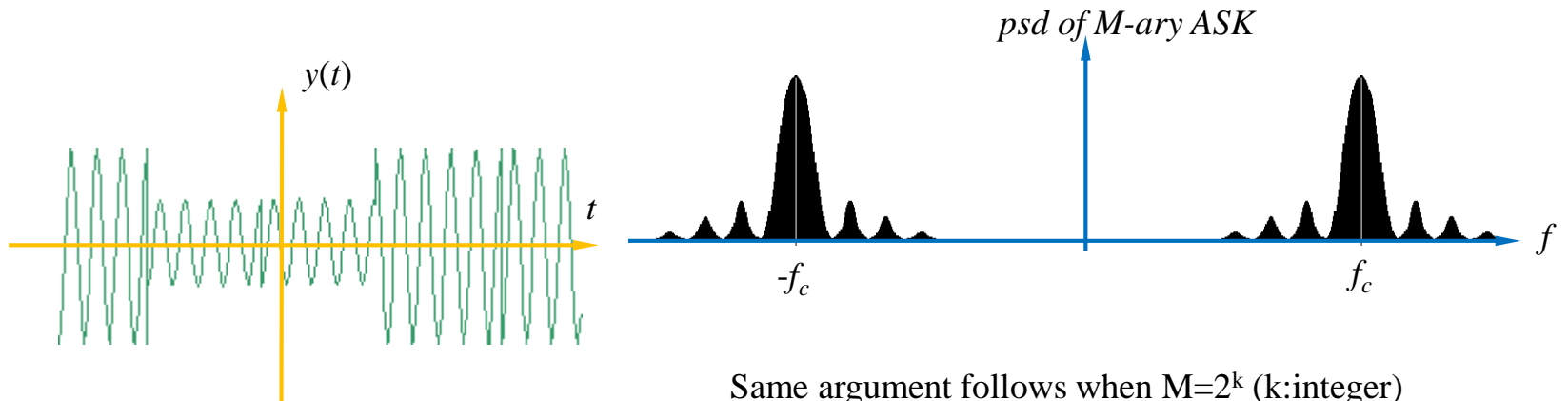
# Spectrum of ASK



**M=4**



How? : 4-ary PAM can be thought of a sum of two 2-ary PAM



Same argument follows when  $M=2^k$  ( $k$ :integer)

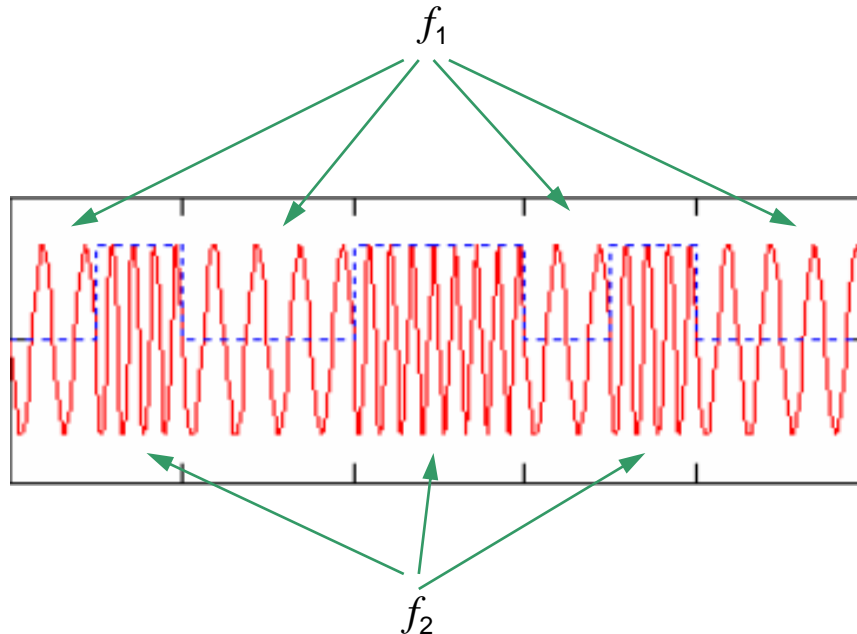
## Frequency Shift Keying (FSK)

Use different frequency values (finite number of) instead of different amplitudes

Example : Binary FSK

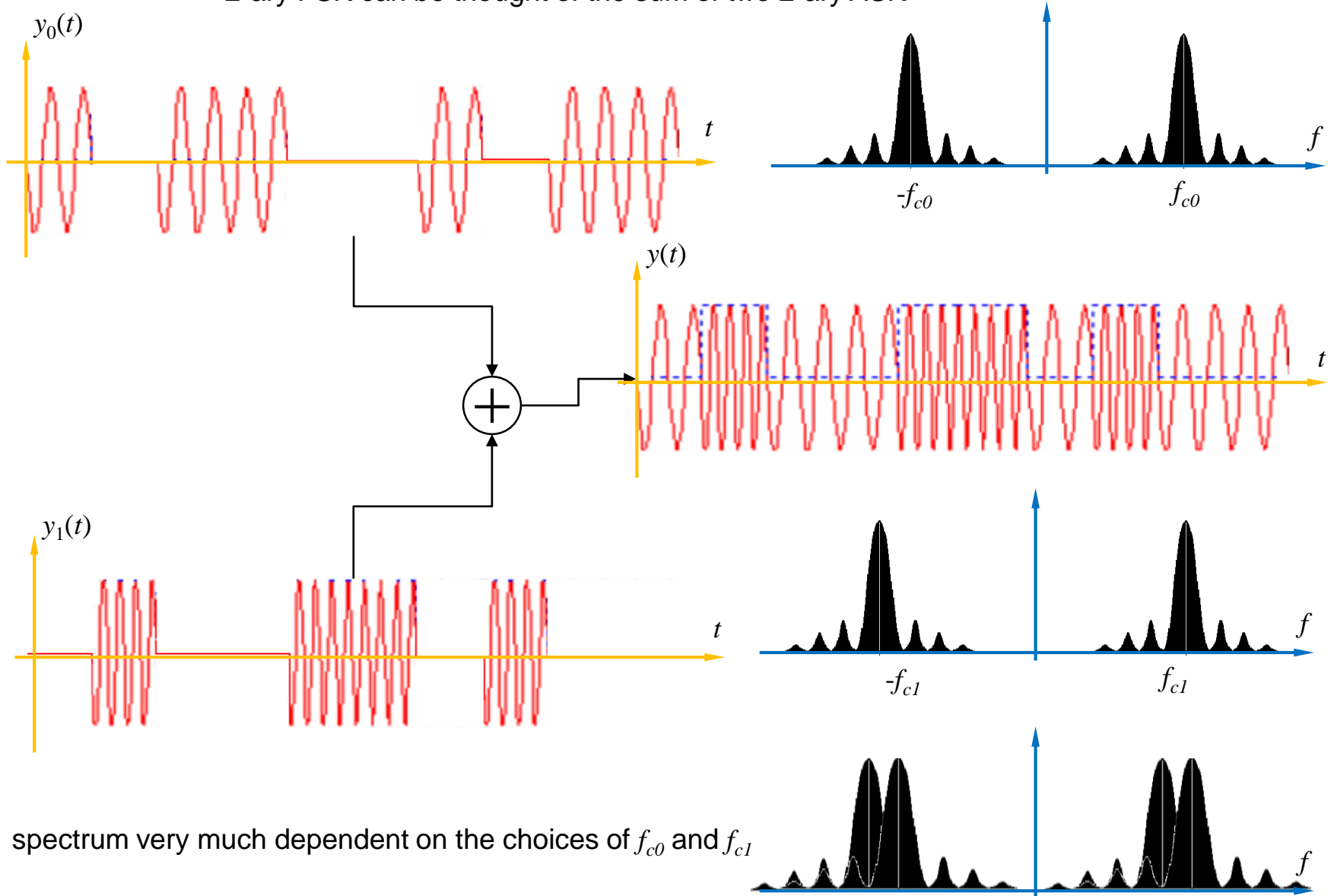
Binary 1 is represented by a sinusoid with frequency  $f_1$

Binary 0 is represented by a sinusoid with frequency  $f_2$



Note: Amplitude does not change, phase is not an issue

2-ary FSK can be thought of the sum of two 2-ary ASK



spectrum very much dependent on the choices of  $f_{c0}$  and  $f_{c1}$

## Phase Shift Keying (PSK)

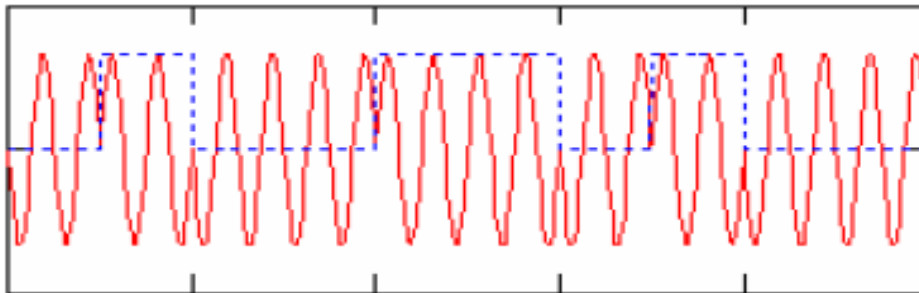
Use different phase values (finite number of), and we get PSK

Example : Binary PSK (BPSK)

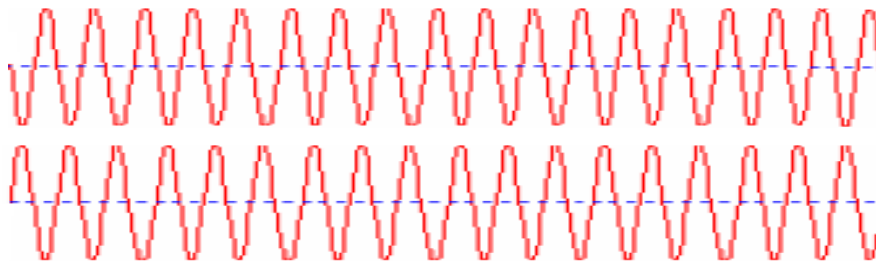
Binary 1 is represented by a sinusoid with 0 phase

Binary 0 is represented by a sinusoid with phase  $\pi$

$$BPSK(t) = A \cos(2\pi f t + \varphi + s(t))$$



$$s(t) = \begin{cases} 0 & \text{for binary 0} \\ \pi & \text{for binary 1} \end{cases}$$



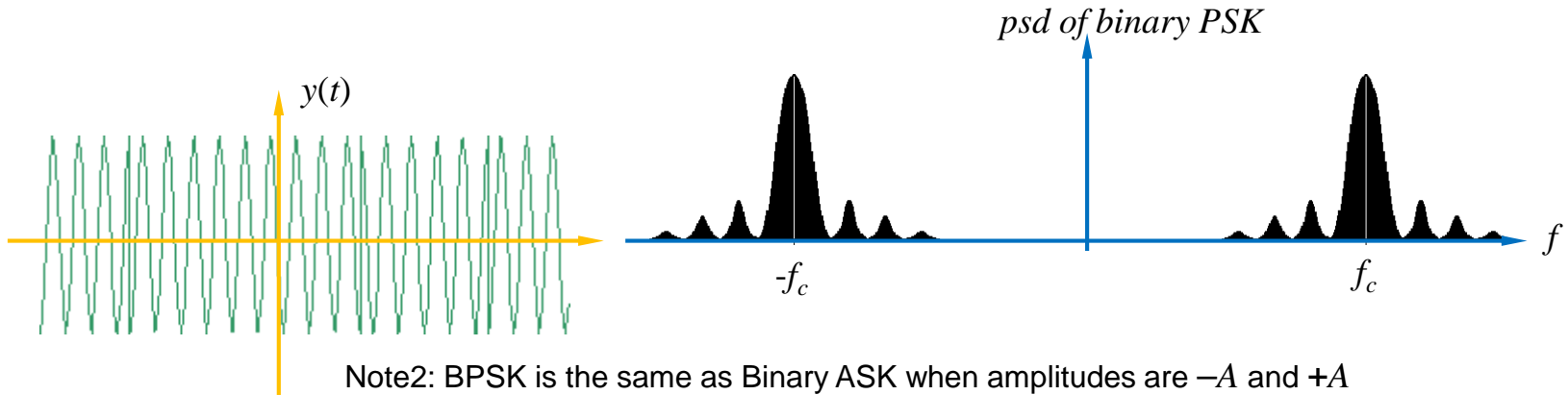
Carrier with phase  $\varphi$

Carrier with phase  $\varphi + \pi$

Note: Amplitude and frequency do not change

Note2: BPSK is the same as Binary ASK when amplitudes are  $-A$  and  $+A$

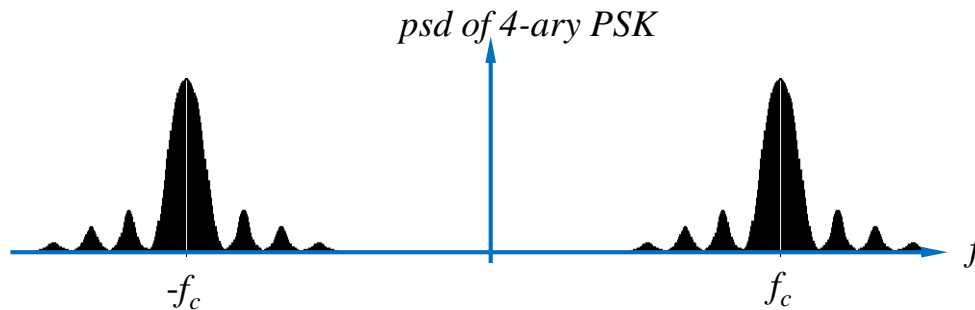
## Spectrum of 2-ary PSK



## Spectrum of 4-ary PSK

That is, there are 4 phases ( $\pi/2$  apart) instead of 2 ( $\pi$  apart)

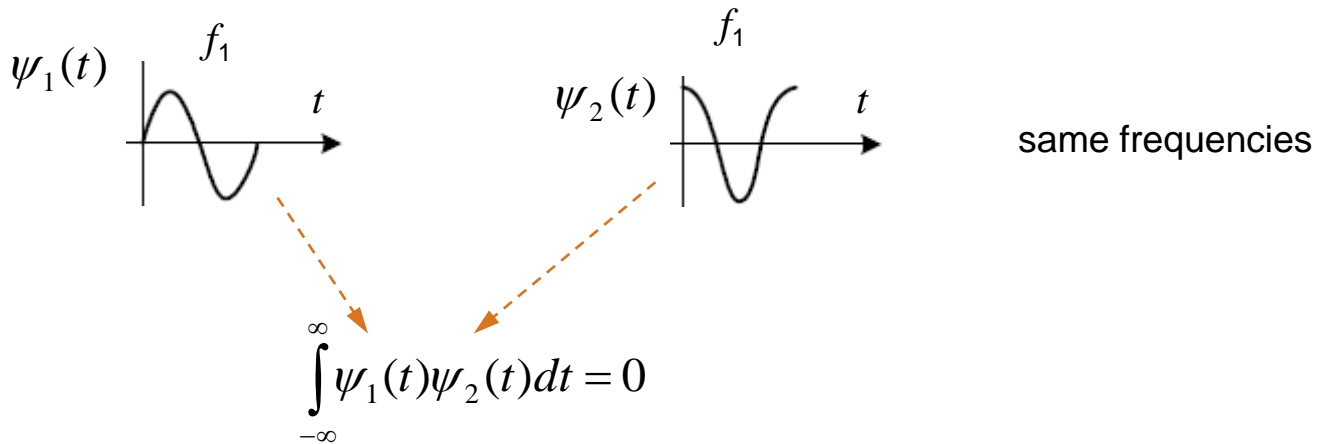
Think of 4-ary PSK as the sum of two 2-ary PSK and verify the following



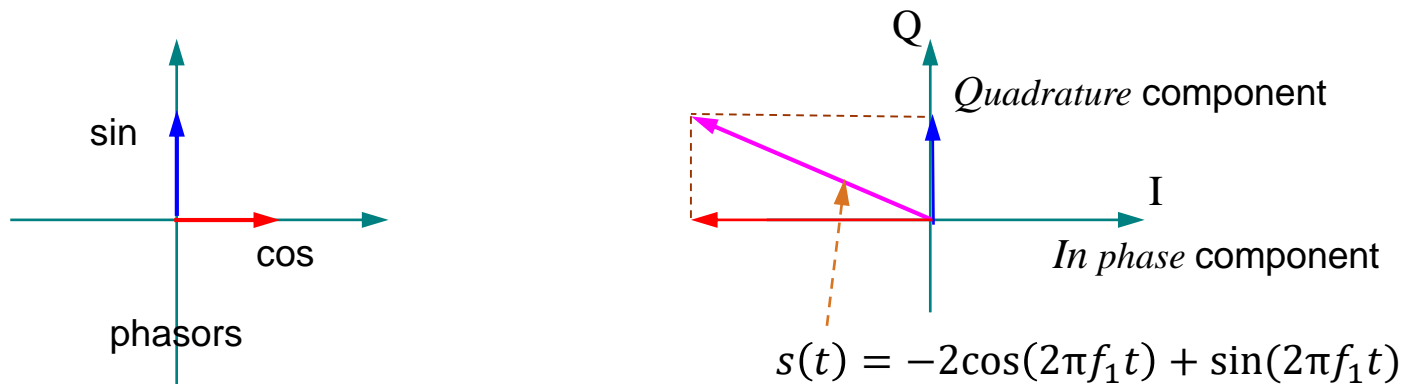
**Hmw** : Check the spectrum of PSK of a general  $M=2^k$  ( $k$ :integer)



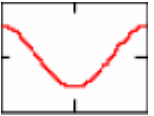
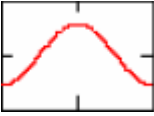
## Cosine and Sine are Orthonormal

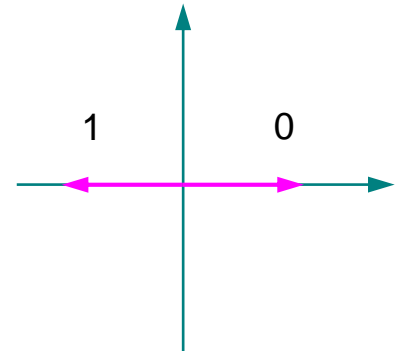


A sinusoidal signal with any phase (at frequency  $f_1$ ) can be obtained by a weighted sum of these basis waveforms  $\psi_1(t)$  and  $\psi_2(t)$



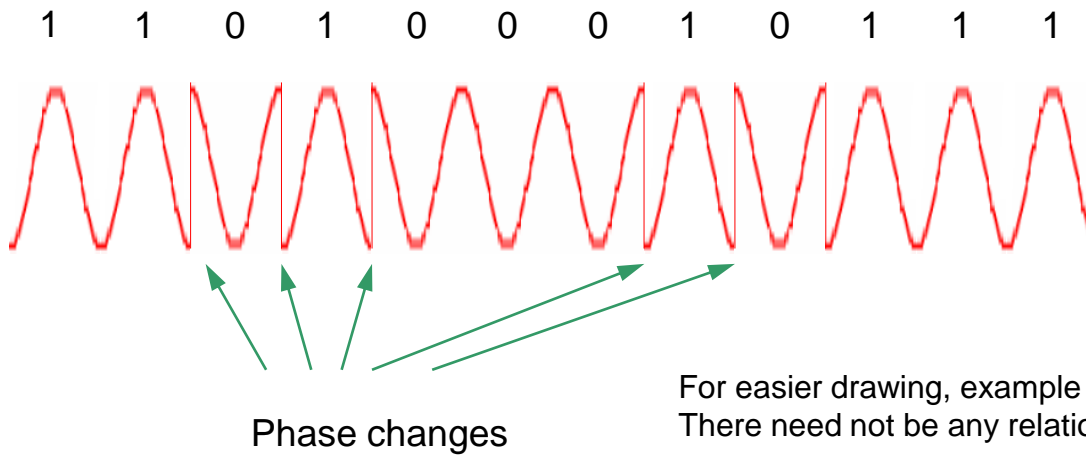
# BPSK

Symbol	Binary	Signal		$I$	$Q$
S1	0	$\cos(2\pi f_c t)$		1	0
S2	1	$\cos(2\pi f_c t + \pi)$		-1	0



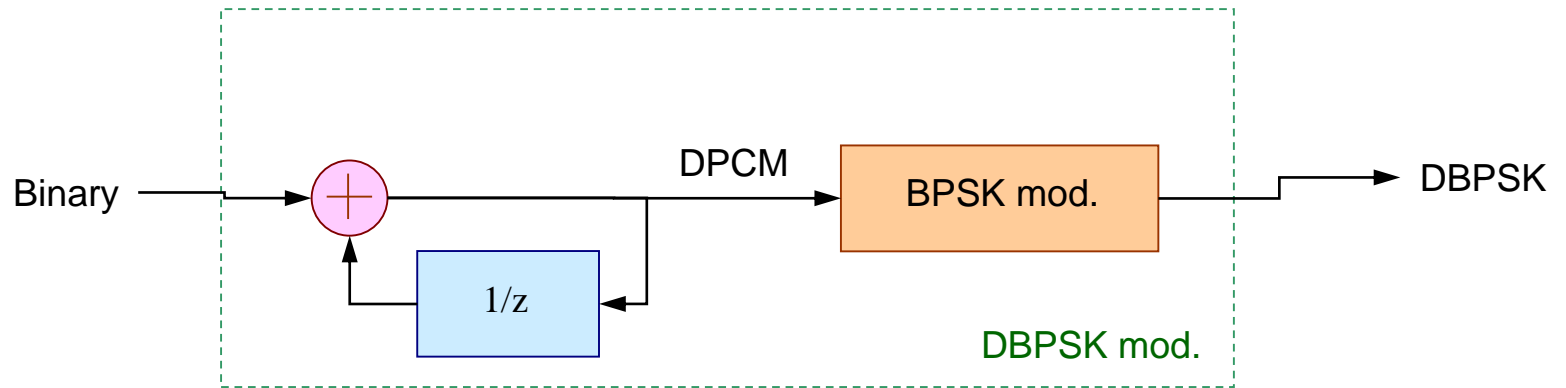
2D constellation diagram

## A binary stream

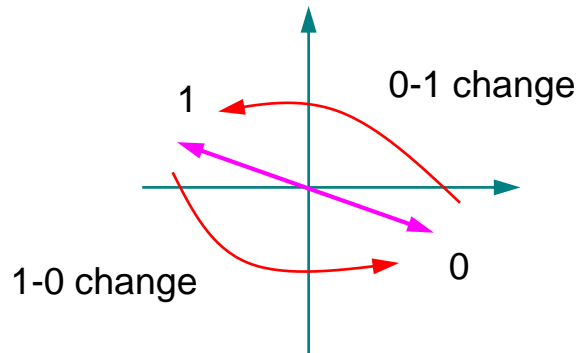


For easier drawing, example shows 1 carrier period per bit. There need not be any relation between them.

## Differential BPSK



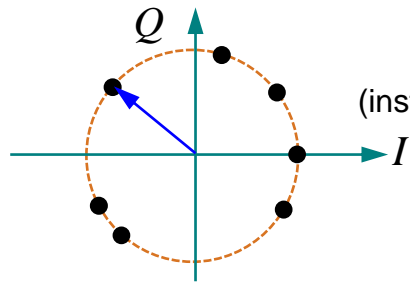
Advantage : Non-Coherent Detection is possible



Changes can be easily detected even when there is no reference carrier

Disadvantage : A bit error affects detection of all remaining bits

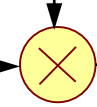
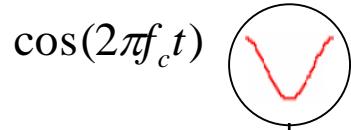
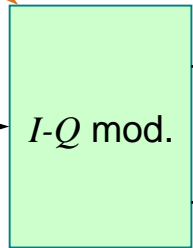
# Generation of M-PSK



(instead of vectors, we just use points at tip of the vectors)

coefficient look-up table

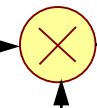
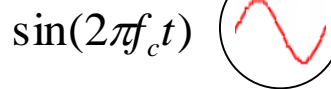
0111011...01  
binary stream



$I_m$



M-ary PSK



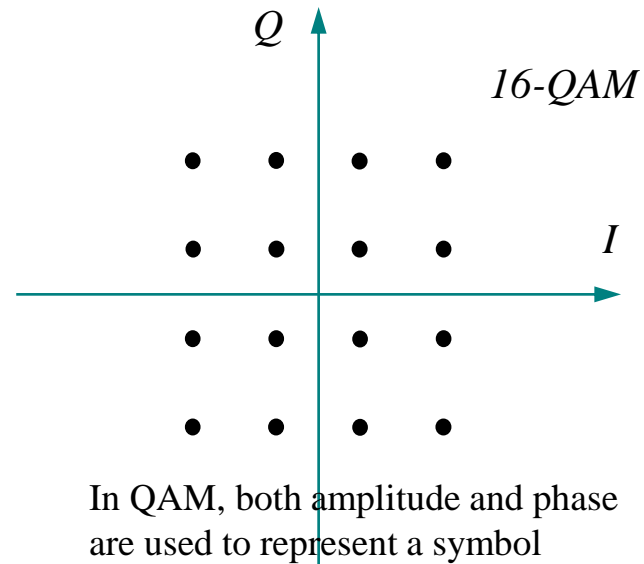
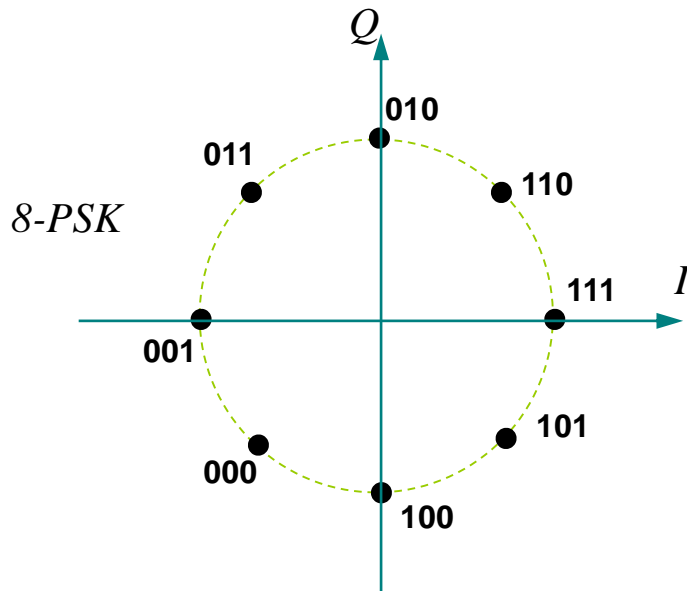
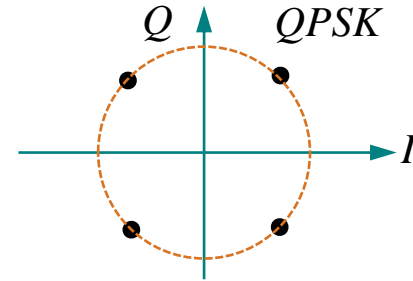
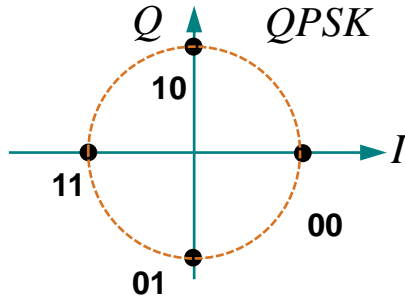
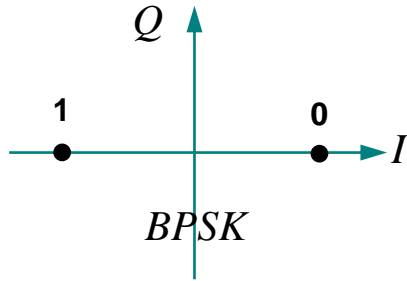
$Q_m$

$M=2^k$  k: number of bits in a symbol

$$M = \sqrt{I^2 + Q^2} = 1$$

$$\varphi_i = \tan^{-1} \left( \frac{I}{Q} \right)$$

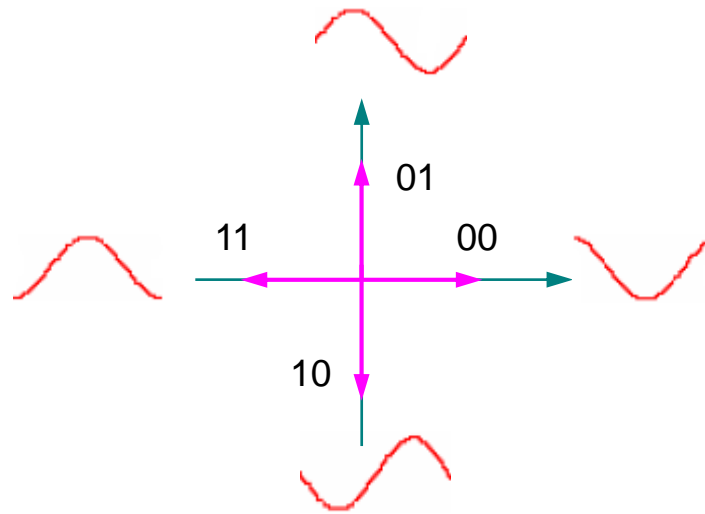
Remember the efficiency statement in the baseband receiver block diagrams







In QAM, both amplitude and phase are used to represent a symbol

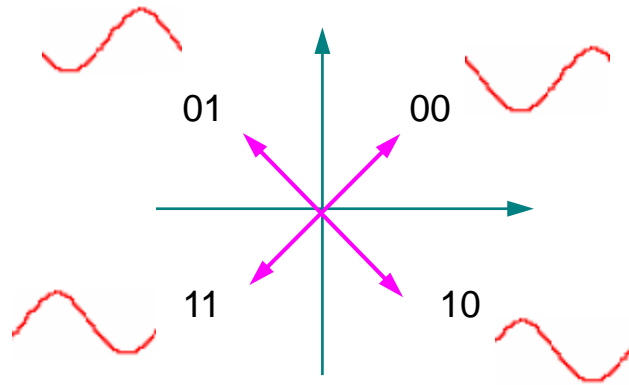
(to be continued)

## Quadrature PSK



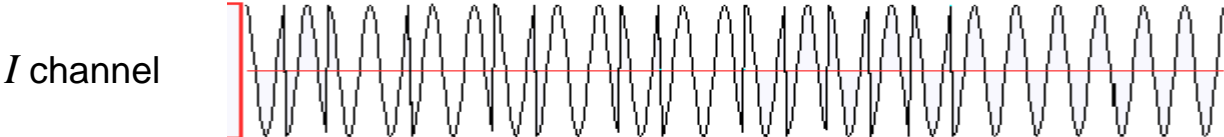
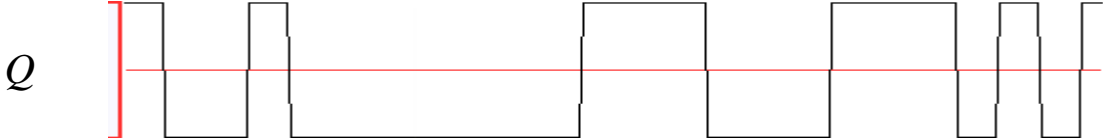
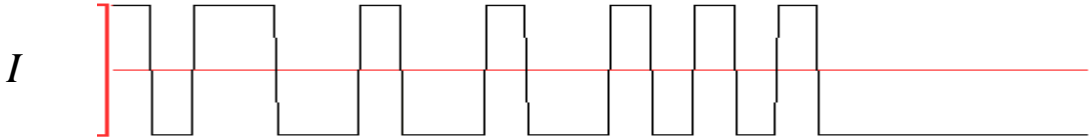
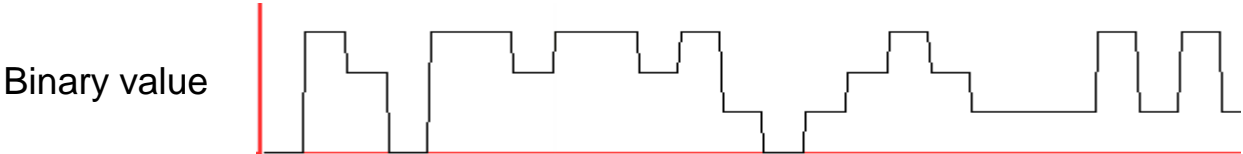
Symbol	Binary	Signal		$I$	$Q$
S1	00	$\cos(2\pi f_c t)$		1	0
S2	11	$\cos(2\pi f_c t + \pi)$		-1	0
S3	01	$\cos(2\pi f_c t + \pi / 2)$		0	1
S4	10	$\cos(2\pi f_c t - \pi / 2)$		0	-1

# QPSK

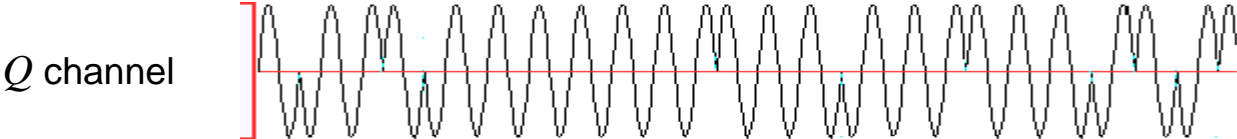


Symbol	Binary	Signal		$I$	$Q$
S1	00	$\cos(2\pi f_c t + \pi / 4)$		0.707	0.707
S2	11	$\cos(2\pi f_c t + 5\pi / 4)$		-0.707	-0.707
S3	01	$\cos(2\pi f_c t + 3\pi / 4)$		-0.707	0.707
S4	10	$\cos(2\pi f_c t - 3\pi / 4)$		0.707	-0.707

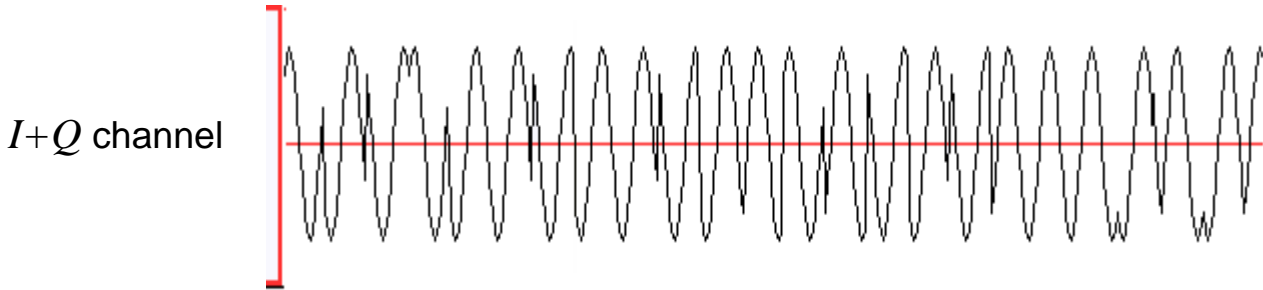
**QPSK (sum of two BPSKs)**



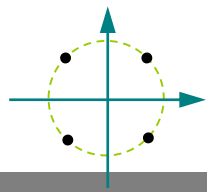
Modulated  
In-Phase carrier



Modulated  
Quadrature-Phase  
carrier



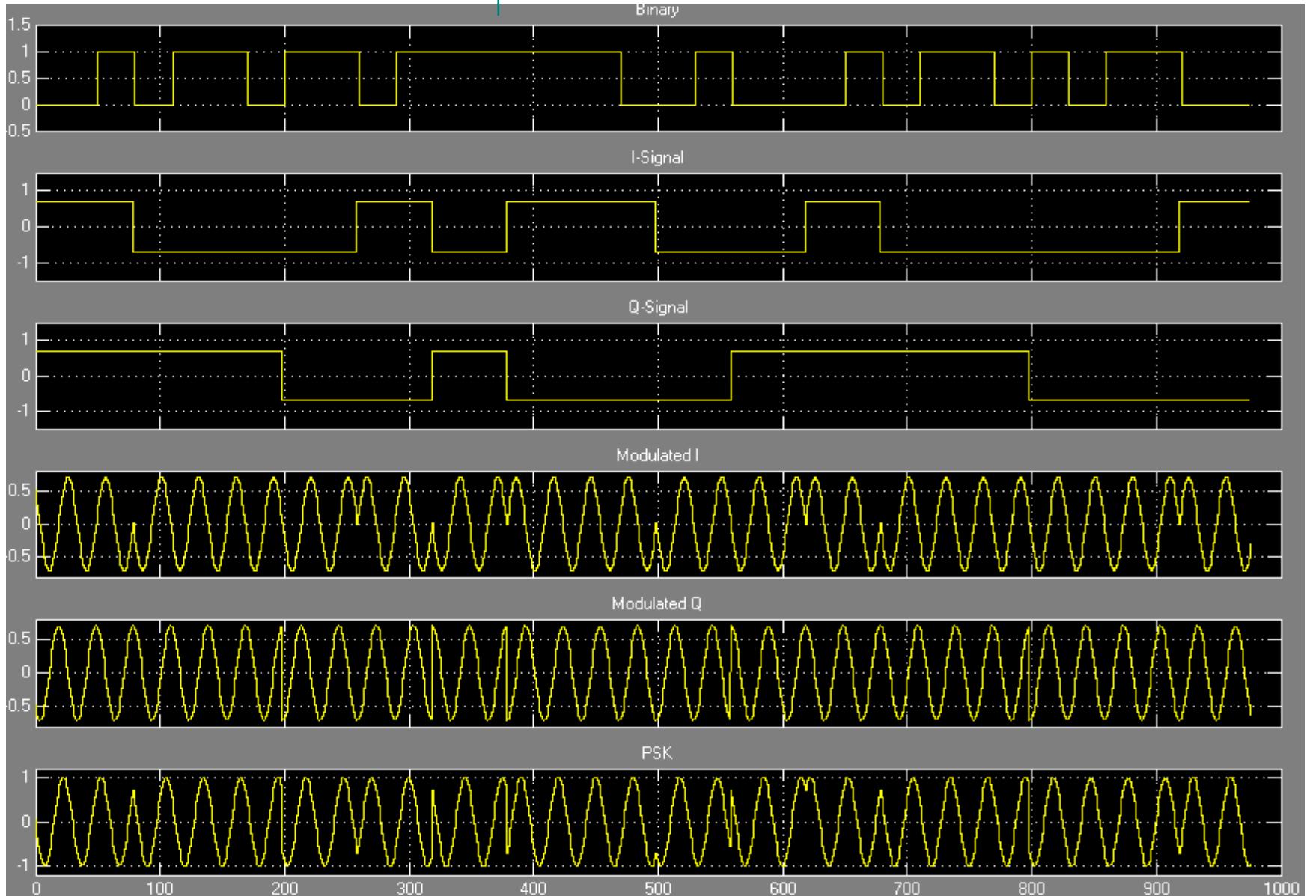


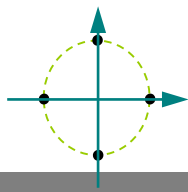


# QPSK

$$I_r = [ 0.7071 \quad -0.7071 \quad -0.7071 \quad 0.7071 ]$$

$$Q_r = [ 0.7071 \quad 0.7071 \quad -0.7071 \quad -0.7071 ]$$

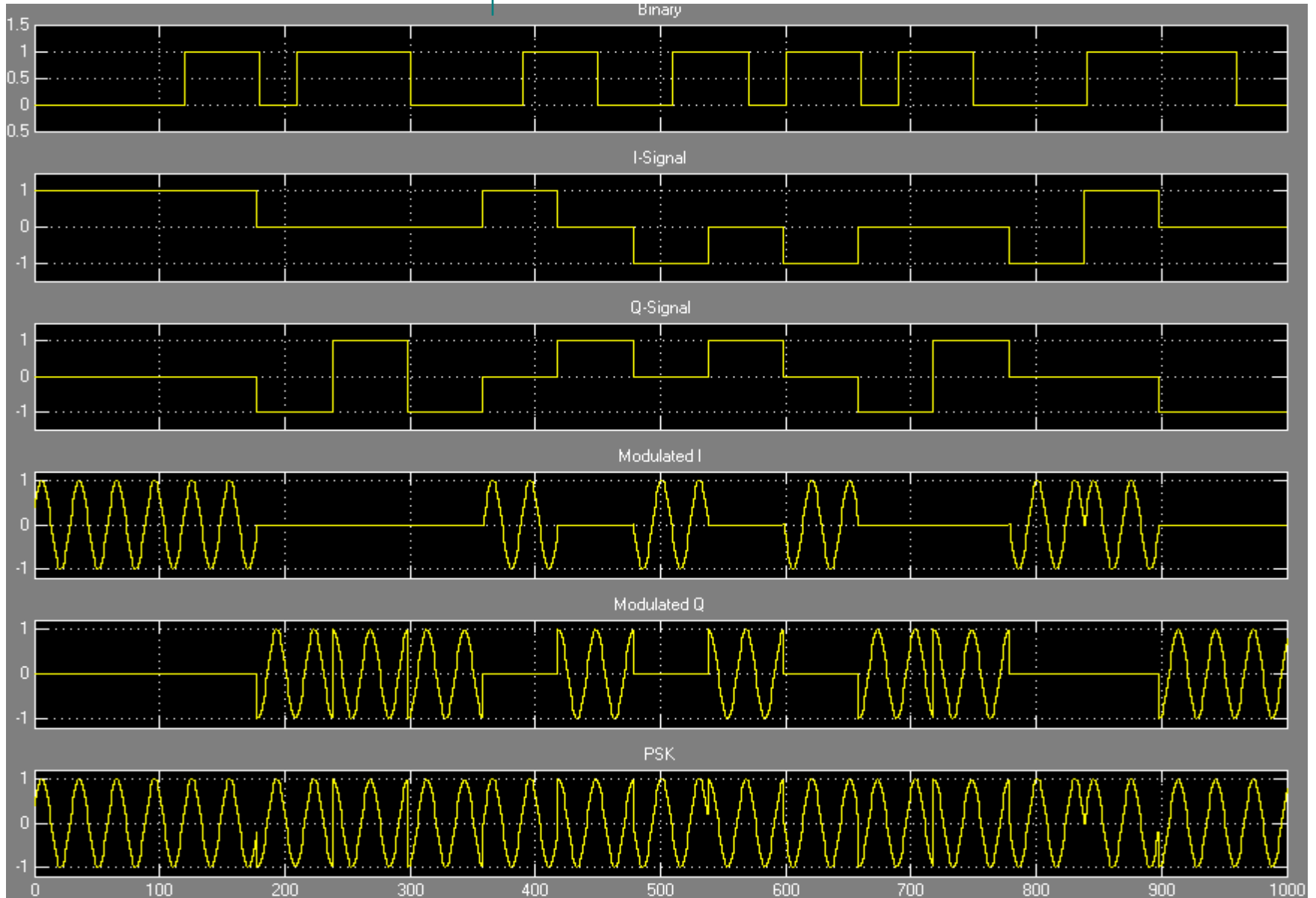












# QPSK

$$I_r = [1 \ 0 \ -1 \ 0]$$

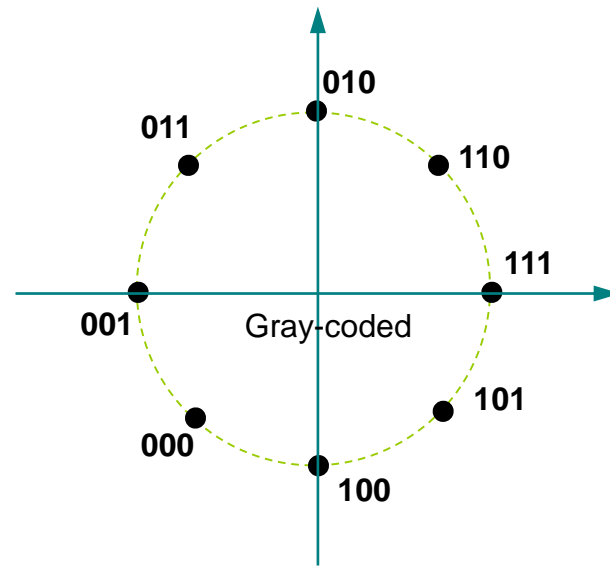
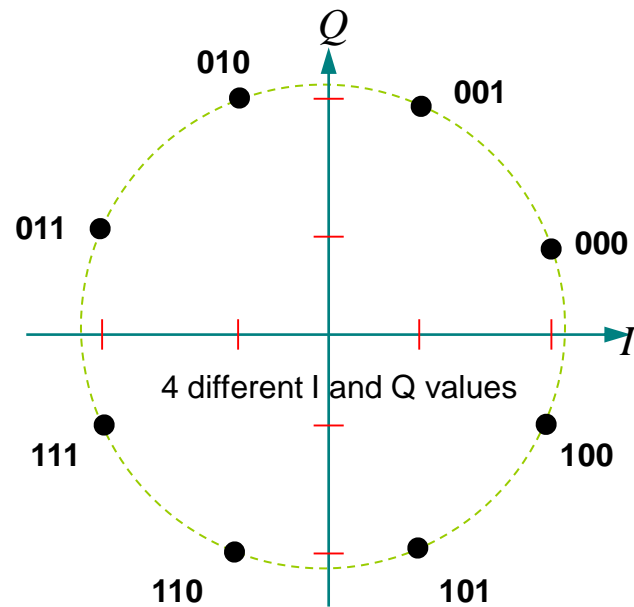
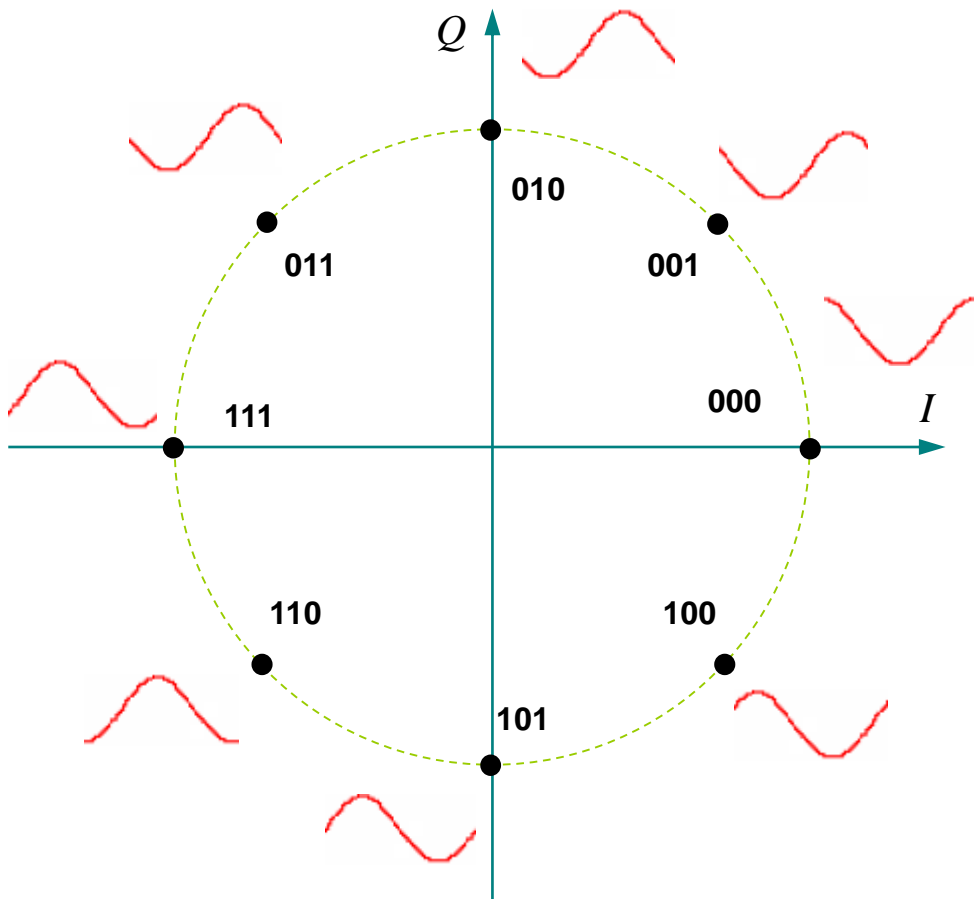
$$Q_r = [0 \ 1 \ 0 \ -1]$$



## 8-PSK

Binary	Signal		$I$	$Q$
000	$\cos(2\pi f_c t)$		1	0
001	$\cos(2\pi f_c t + \pi / 4)$		0.707	0.707
011	$\cos(2\pi f_c t + \pi / 2)$		0	1
010	$\cos(2\pi f_c t + 3\pi / 4)$		-0.707	0.707
110	$\cos(2\pi f_c t + 5\pi / 8)$		-1	0
111	$\cos(2\pi f_c t + 7\pi / 8)$		-0.707	-0.707
101	$\cos(2\pi f_c t + 9\pi / 8)$		0	-1
100	$\cos(2\pi f_c t + 11\pi / 8)$		0.707	-0.707

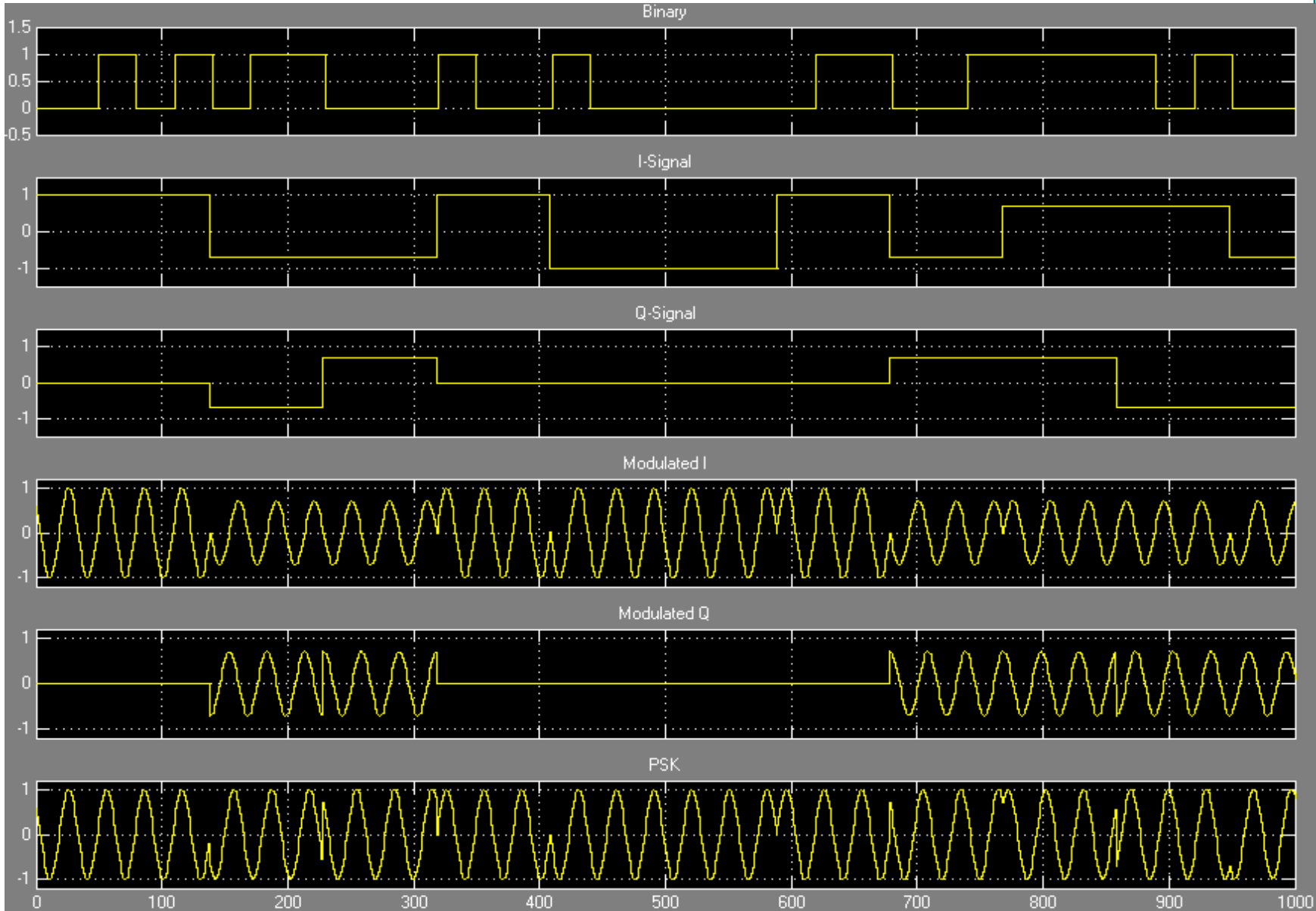
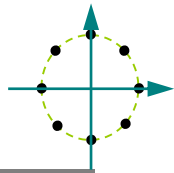
# 8-PSK

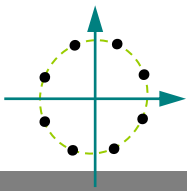


# 8-PSK

$$\mathbf{I}_r = [1 \ 0.7071 \ 0 \ -0.7071 \ -1 \ -0.7071 \ 0 \ 0.7071]$$
$$\mathbf{Q}_r = [0 \ 0.7071 \ 1 \ 0.7071 \ 0 \ -0.7071 \ -1 \ -0.7071]$$

(bit assignments are different than shown in previous slide)

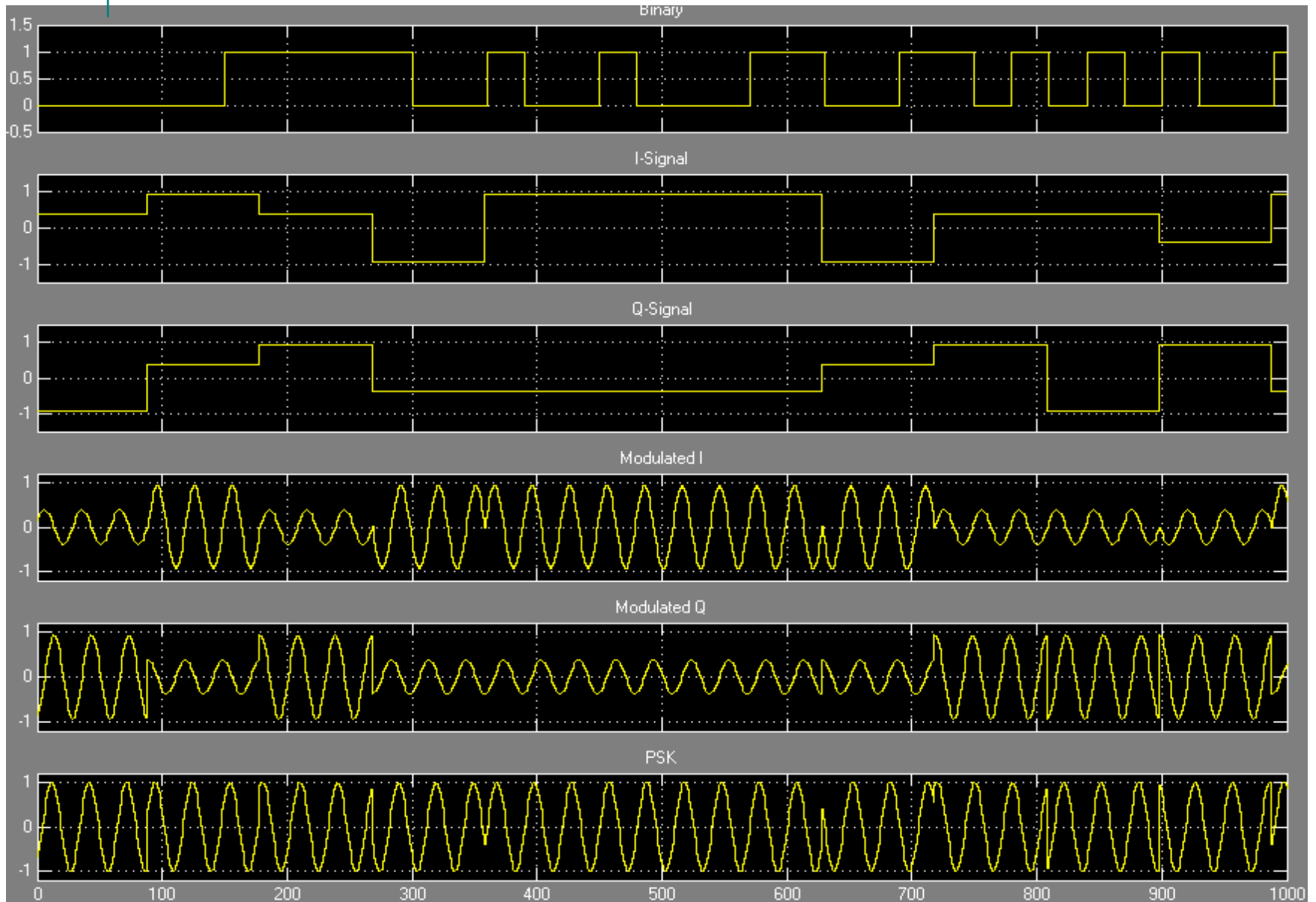




# 8-PSK

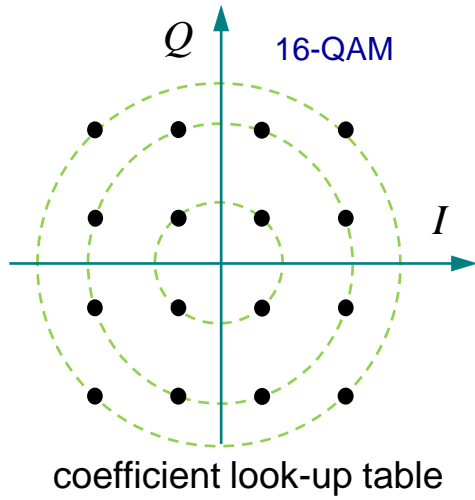
$$I_r = [0.9239 \ 0.3827 \ -0.3827 \ -0.9238 \ 0.9238 \ 0.3827 \ -0.3827 \ -0.9238]$$

$$Q_r = [0.3827 \ 0.9238 \ 0.9238 \ 0.3827 \ -0.3827 \ -0.9238 \ -0.9238 \ -0.3827]$$

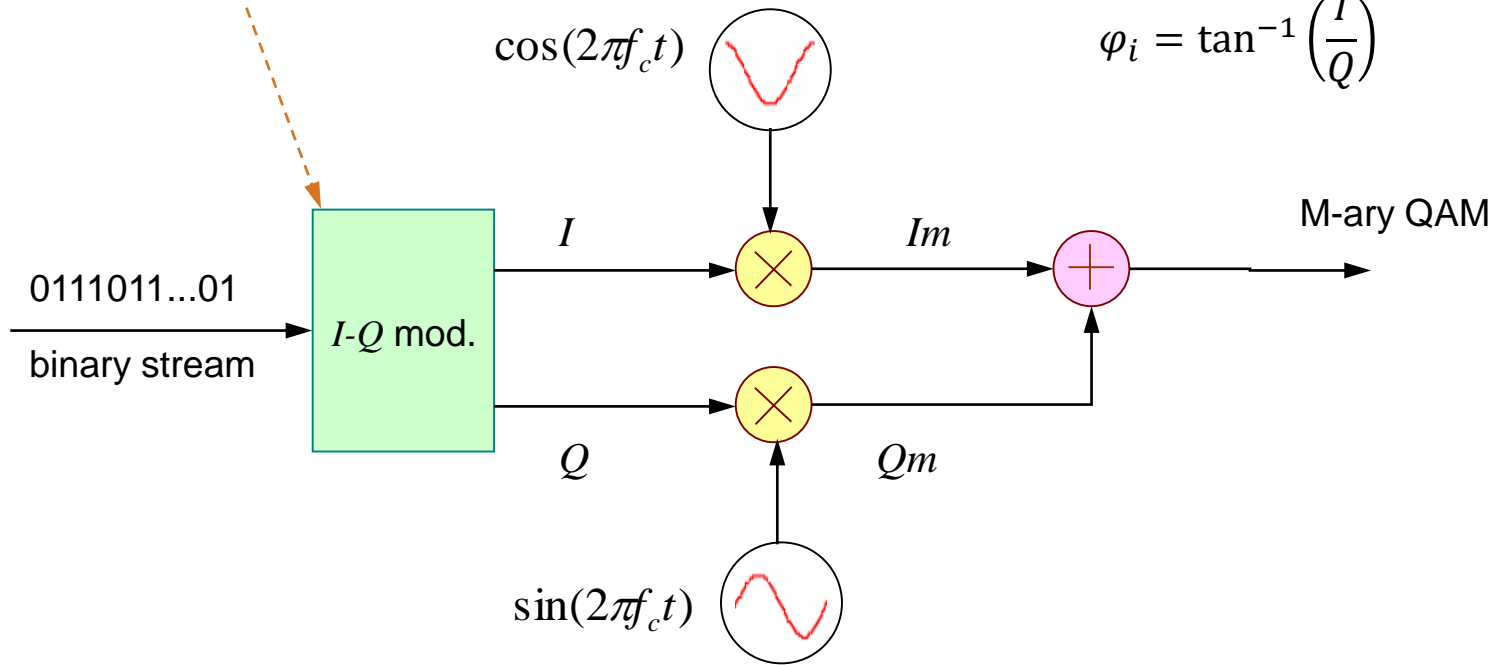


# QAM

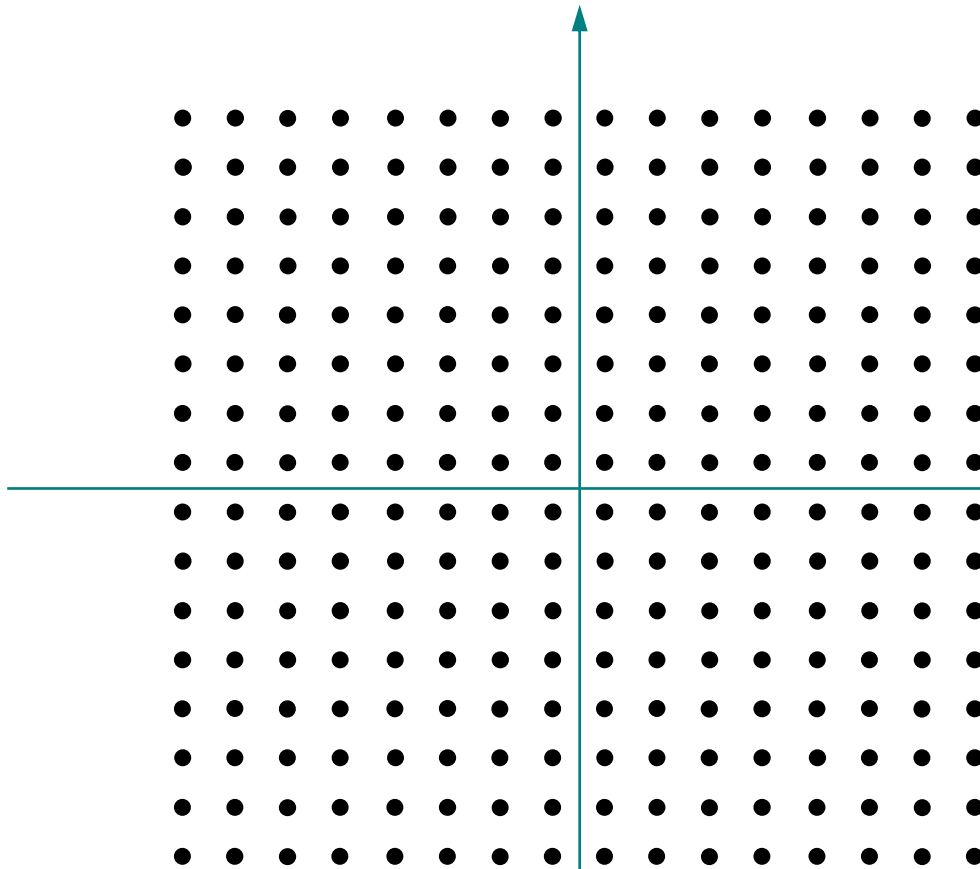
Both phase & amplitude are used



$$A_i = \sqrt{I^2 + Q^2}$$
$$\varphi_i = \tan^{-1}\left(\frac{I}{Q}\right)$$

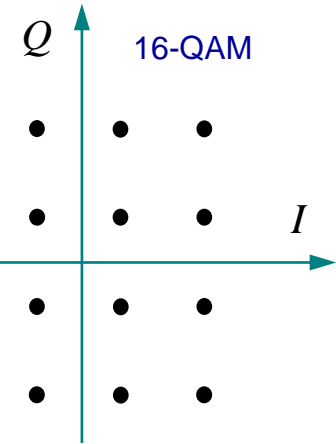


# 1024-QAM

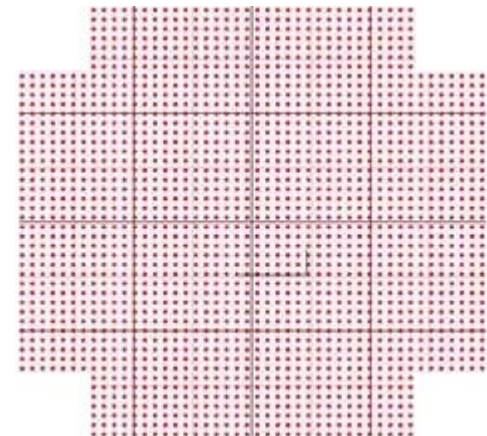


A symbol is 10 bits.

Now you know the sky is the limit.



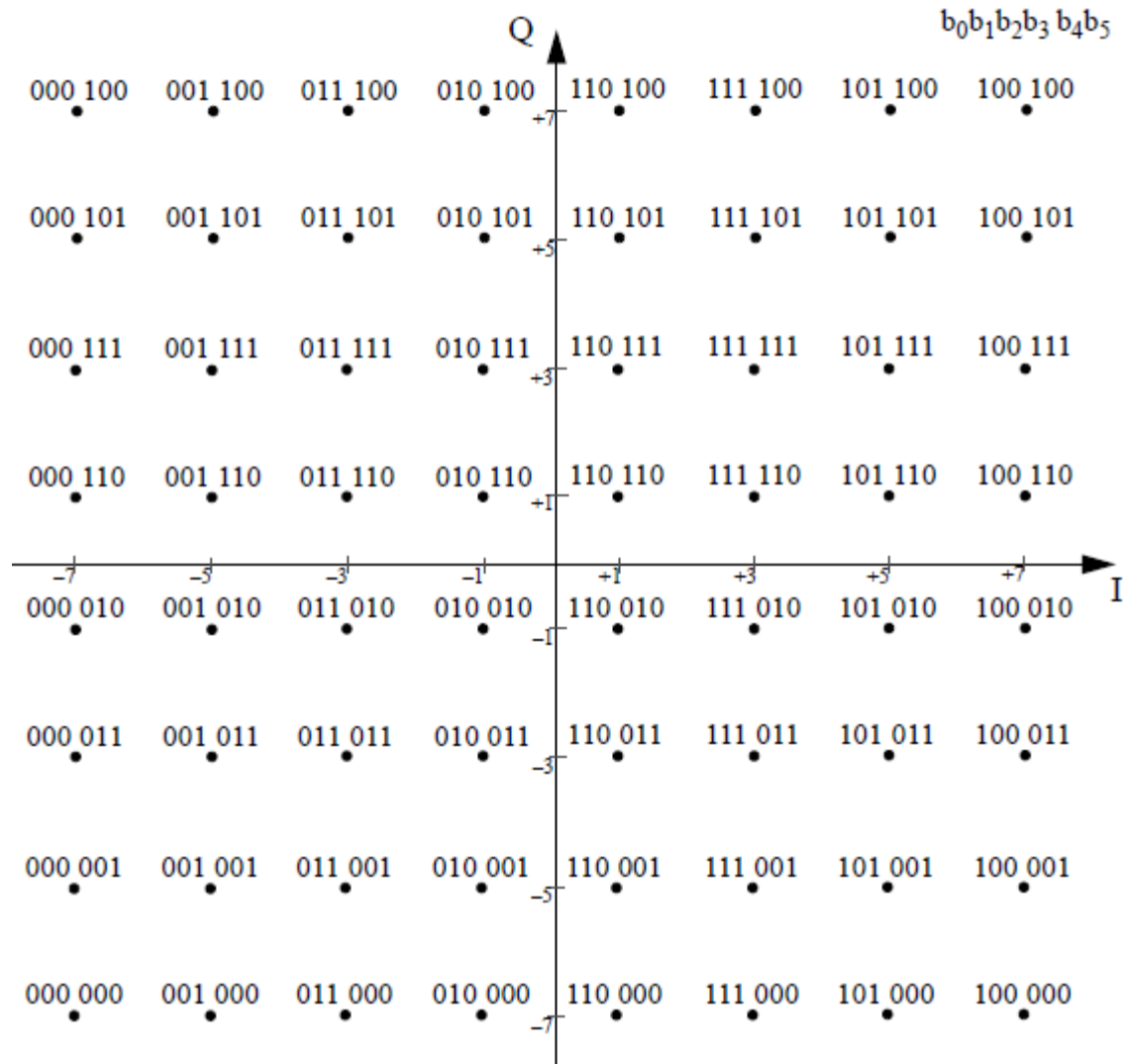
# 2048-QAM



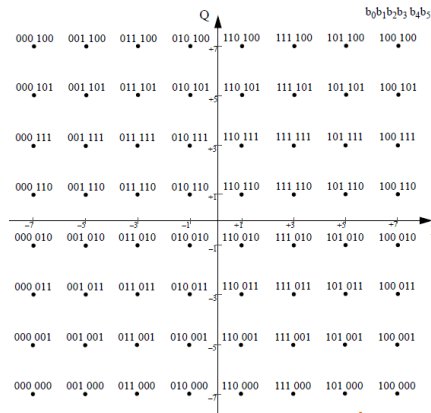
Spectrum is the same as BPSK. So, why not use 64536-QAM and transmit 16 bits with each symbol? Caveat : The receiver must distinguish each symbol, and symbols will be closer, unless the voltage is increased.



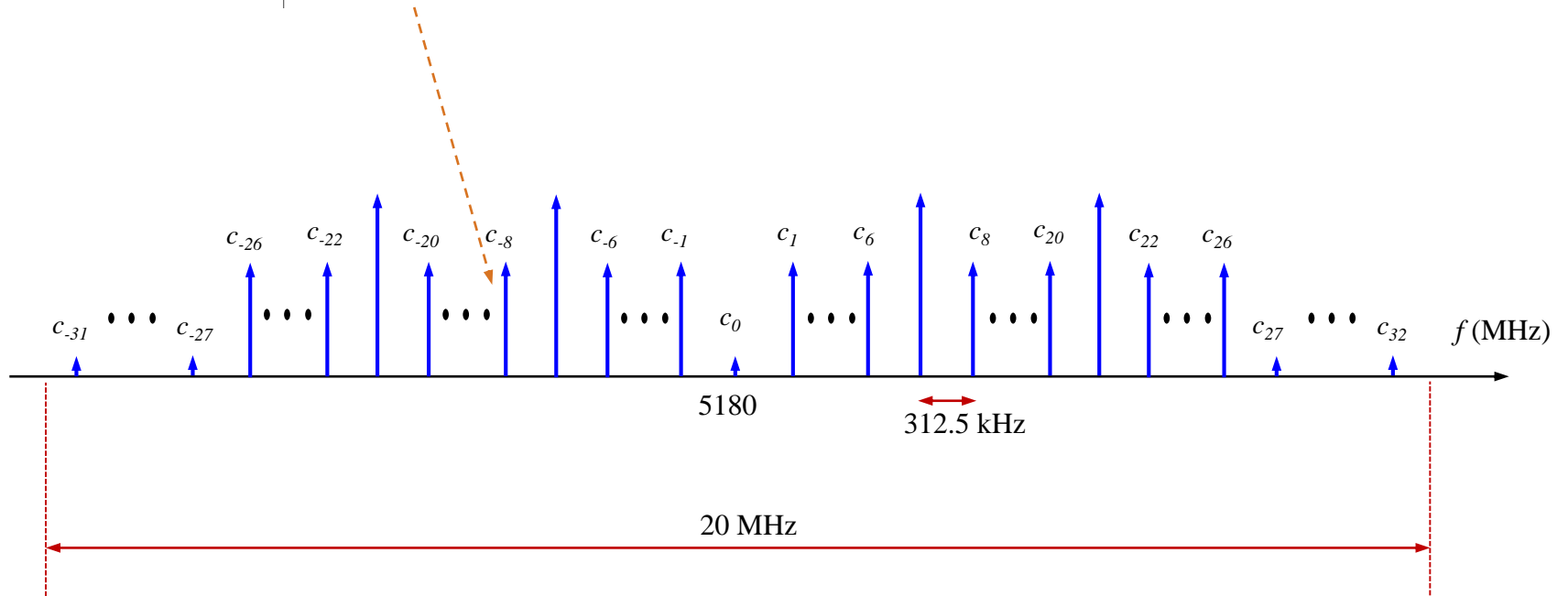
# 64-QAM (from IEEE-802.1a-1999)



## Placement of 64 Carriers in IEEE-802.1a-1999)



48 carriers use BPSK, QPSK, 16-QAM or 64-QAM



How these signals are generated will be discussed in OFDM

**END**